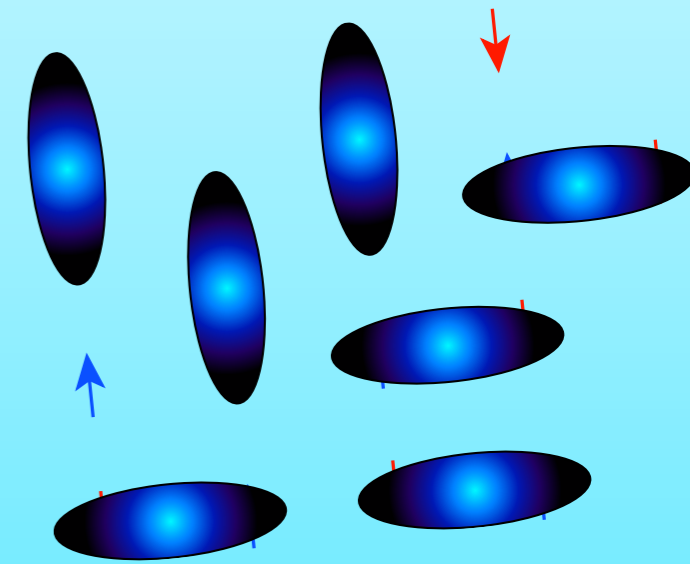
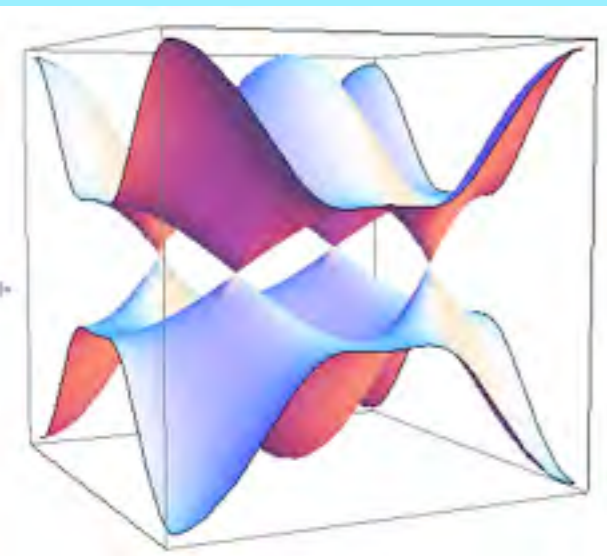


# Symmetry protections for topological phases



Y. Hatsugai  
Institute of Physics, Univ. Tsukuba



# Plan

- ★ *Why topological ?*
  - ★ Novel phases without symmetry breaking
  - ★ Why Symmetry ?
- ★ *Symmetry protection of gap nodes*
  - ★ Dimension & co-dimension
  - ★ Anisotropic superconductivity/fluidity & graphene
- ★ *Topological order parameter by quantum interference*
  - ★ Berry connection:  $Z_2$  Berry phases & Chern number
  - ★ Successful examples

# Plan

- ★ *Why topological ?*
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  - ★ Successful examples
- ★ *Zoo of edge states as topological order parameters*
  - ★ Bulk-edge correspondence
  - ★ Examples : Zoo of what we care.

# Why do we care topological phases ?

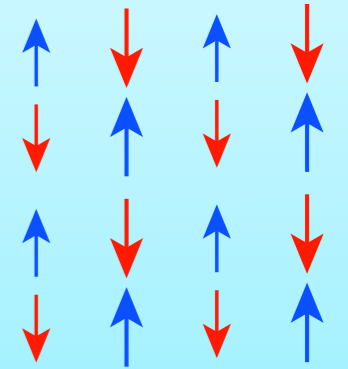
## Characterization of phases

★ Ginzburg-Landau theory

*too much success*

★ Local order parameter:

$$\langle \mathbf{S}(r) \rangle$$



★ Symmetry breaking

$$\langle \mathbf{S}(r) \rangle \neq 0$$

*Magnetism, superconductivity, charge/orbital ordering ...*

*Is this satisfactory ?*

*Quantum liquids*

*Absence of symmetry breaking*

*need something more:*

**Topological !**

# Quantum/Spin Liquids ?

## ★ Quantum Liquids in Low Dimensional Quantum Systems

- ★ Low Dimensionality, Quantum Fluctuations
- ★ No (fundamental) Symmetry Breaking
- ★ No Local Order Parameter

New Type of Order  
Topological Order!

X.-G.Wen '89

## ★ Quantum Liquids in Condensed Matter

- ★ Integer & Fractional Quantum Hall States
- ★ Dimer Models of Fermions and Spins
- ★ Half filled Kondo Lattice
- ★ Kitaev model & Levin-Wen model
- ★ Anisotropic superfluids/superconductors (ABM, BW, p-wave )
- ★ Graphene, Weyl semi-metal
- ★ Topological insulators : quantum spin Hall states
- ★ Photonic crystals & Some of cold atoms ..

# Quantum Liquids ?

## ★ Quantum Liquids in Low Dimensional Quantum Systems

★ Low Dimensionality, Quantum Fluctuations

★ Metal (Metal) Systems

★ No Order Parameter

Gapped

Gapless

New Type of Order  
Topological Order!

X.-G. Wen '89

## ★ Quantum Liquids in Condensed Matter

★ Integer & Fractional Quantum Hall States

Gapped

★ Dimer Models of Fermions and Spin

Gapped

★ Half filled Kondo Lattice

Gapped

★ Kitaev model & Levin-Wen model

Gapped/Gapless

★ Anisotropic superfluids/superconductors (ABM, BW, p-wave)

★ Graphene, Weyl semi-metal

Gapless

★ Topological insulators : quantum spin Hall states

Gapped

★ Photonic crystals & Some of cold atoms ..



# Quantum Liquids are Featureless !!

*A phase without symmetry breaking is interesting ?*

*Are there something to be learned ?*

*Too much general is boring !*

**Nothing to be characterized  
in sufficiently high dimensions**

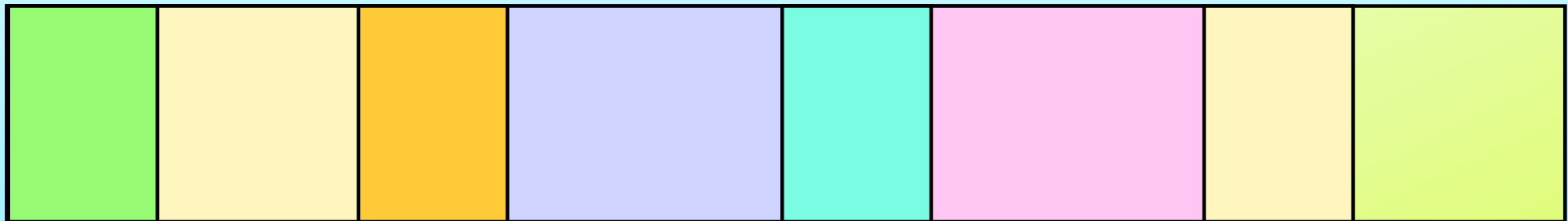
**SYMMETRY & DIMENSION constrains !**

**Symmetry protection of  
Topological Phases  
without symmetry breaking**

**"TRULY GENERIC" phase without any symmetry breaking**

*topologically single phase (too simple ?)*

**With some symmetry *A, B, C***



**1. Discrete symmetry**

- Time reversal
- Charge conjugation
- Space inversion
- Reflection

YH, '06

Chen-Gu-Wen, '10  
Pollmann et al., '10

**2. Gauge symmetry**

- $U(1)$  : QHE (TR  $\times$ )
- $Sp(1)$  : QSHE (TR  $\circ$ )



# How to characterize the phase Without Symmetry Breaking ?

Try to show overview

Stability against for perturbation !

Gapless

✓ Nodes structures  
point nodes, line nodes,...

Gapped

✓ Adiabatic invariants  
Chern numbers,  $Z_Q$  Berry phases

Protected by symmetry

✓ Bulk-edge correspondence  
geometrically induced gapless excitations in gapped phase

# Plan

- ★ *Why topological ?*

- ★ Novel phases without symmetry breaking

- ★ Why Symmetry ?

- ★ **Symmetry protection of gap nodes**

- ★ Dimension & co-dimension

- ★ Anisotropic superconductivity/fluidity & graphene

Gapless

- ★ *Topological order parameter by quantum interference*

- ★ Berry connection:  $Z_2$  Berry phases & Chern number

- ★ Successful examples

- ★ *Zoo of edge states as topological order parameters*

- ★ Bulk-edge correspondence

- ★ Examples : Zoo of what we care.

Gapless

Topological !

Single particle problem (mean field)

Nodes structures

point nodes, line nodes,...

protected by symmetry

gapless : generic 2 levels near the gap von Neumann-Wigner '29  
Berry '84

$$H(\mathbf{k}) = \mathbf{R}(\mathbf{k}) \cdot \boldsymbol{\sigma} = \begin{pmatrix} R_z & R_x - iR_y \\ R_y + iR_x & -R_z \end{pmatrix}$$

expanded by Pauli matrices  
3 parameters  
 $(R_x, R_y, R_z)$

$$E = \pm |\mathbf{R}(\mathbf{k})|$$

gapless point  $\mathbf{R} = 0$

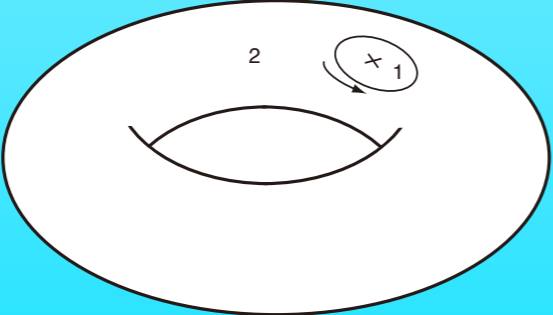
To be gapless: 3 parameters to be tuned

co-dimension=3 (3 conditions)

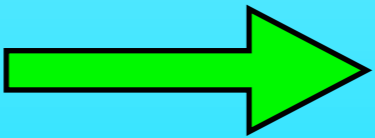
2-D closed surface in 3D

ex.

2D Brillouin zone



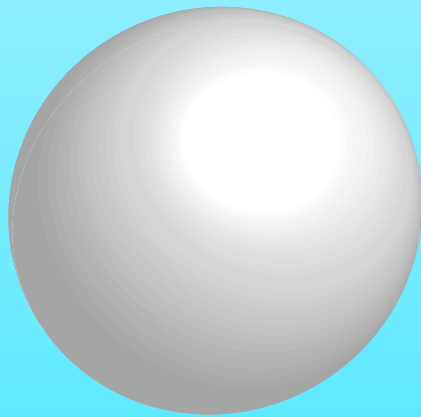
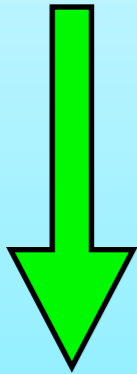
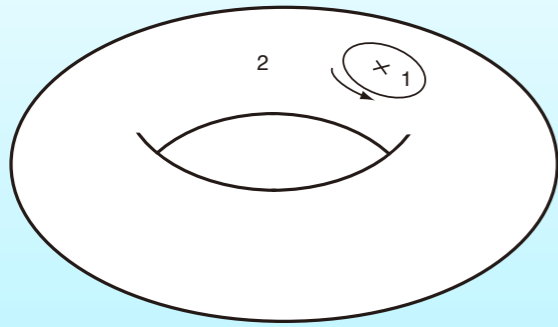
map



2D Torus  $T^2$   
:periodic in  $k_x$  &  $k_y$

# 2D examples

2D Brillouin zone

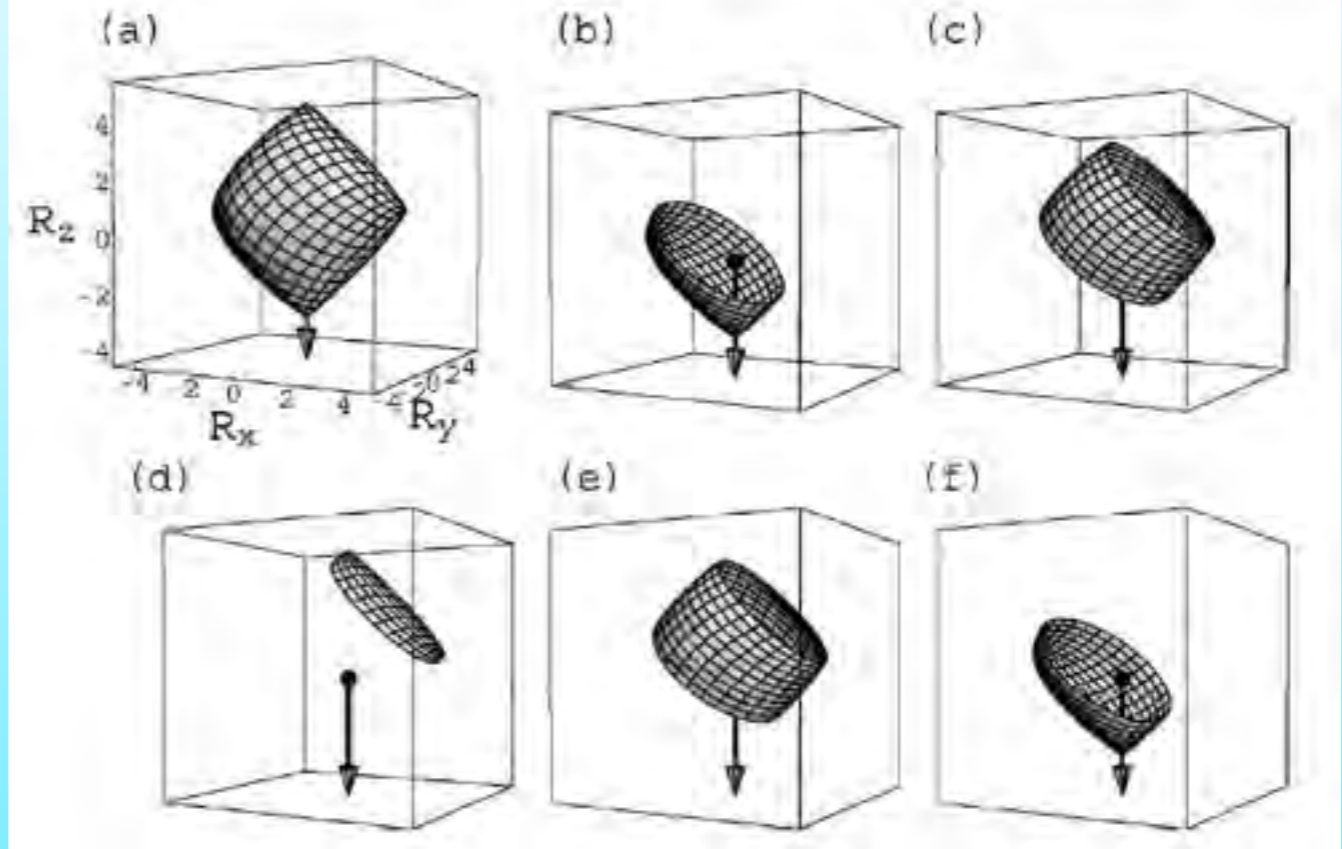


$$H(\mathbf{k}) = \mathbf{R}(\mathbf{k}) \cdot \boldsymbol{\sigma} = \begin{pmatrix} R_z & R_x - iR_y \\ R_y + iR_x & -R_z \end{pmatrix}$$

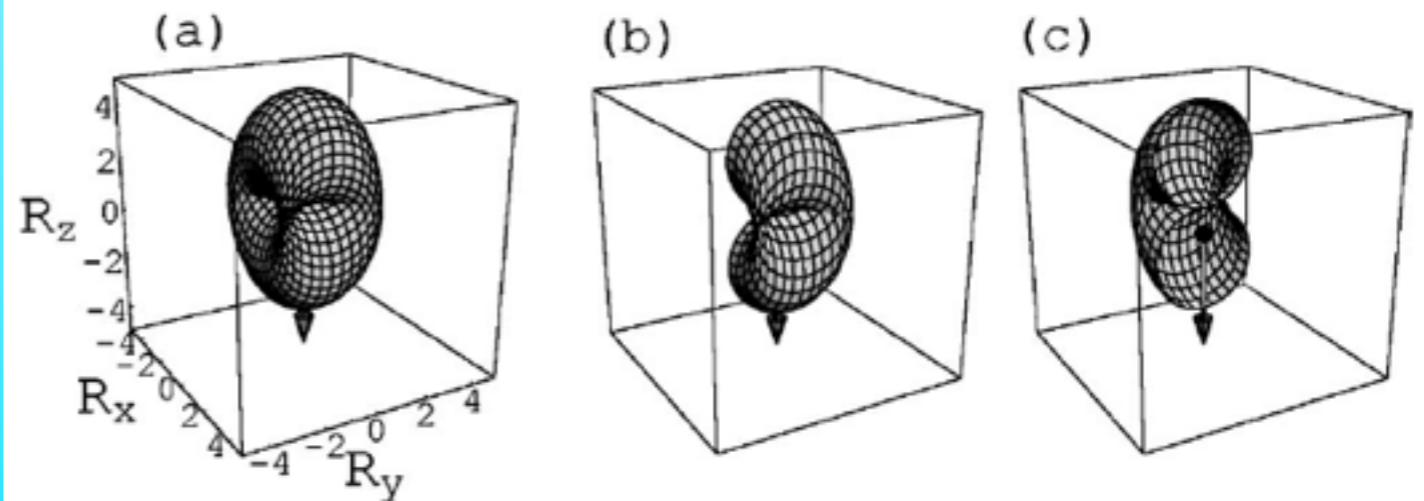
*d*-wave superconductivity

YH-Ryu, '02

PHYSICAL REVIEW B 65 212510



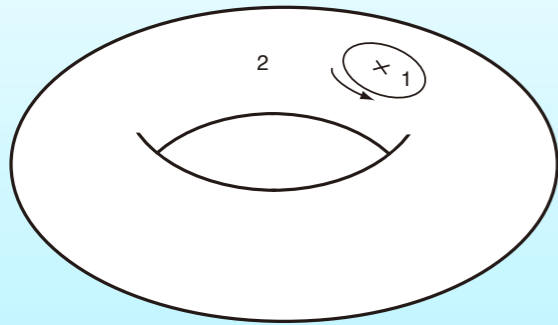
*p*-wave superconductivity



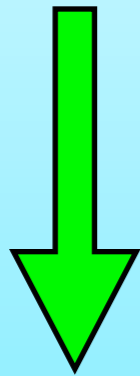
# ABM states & Dirac mono pole

2D Brillouin zone

Anderson-Brinkman-Morel (ABM) phase of He



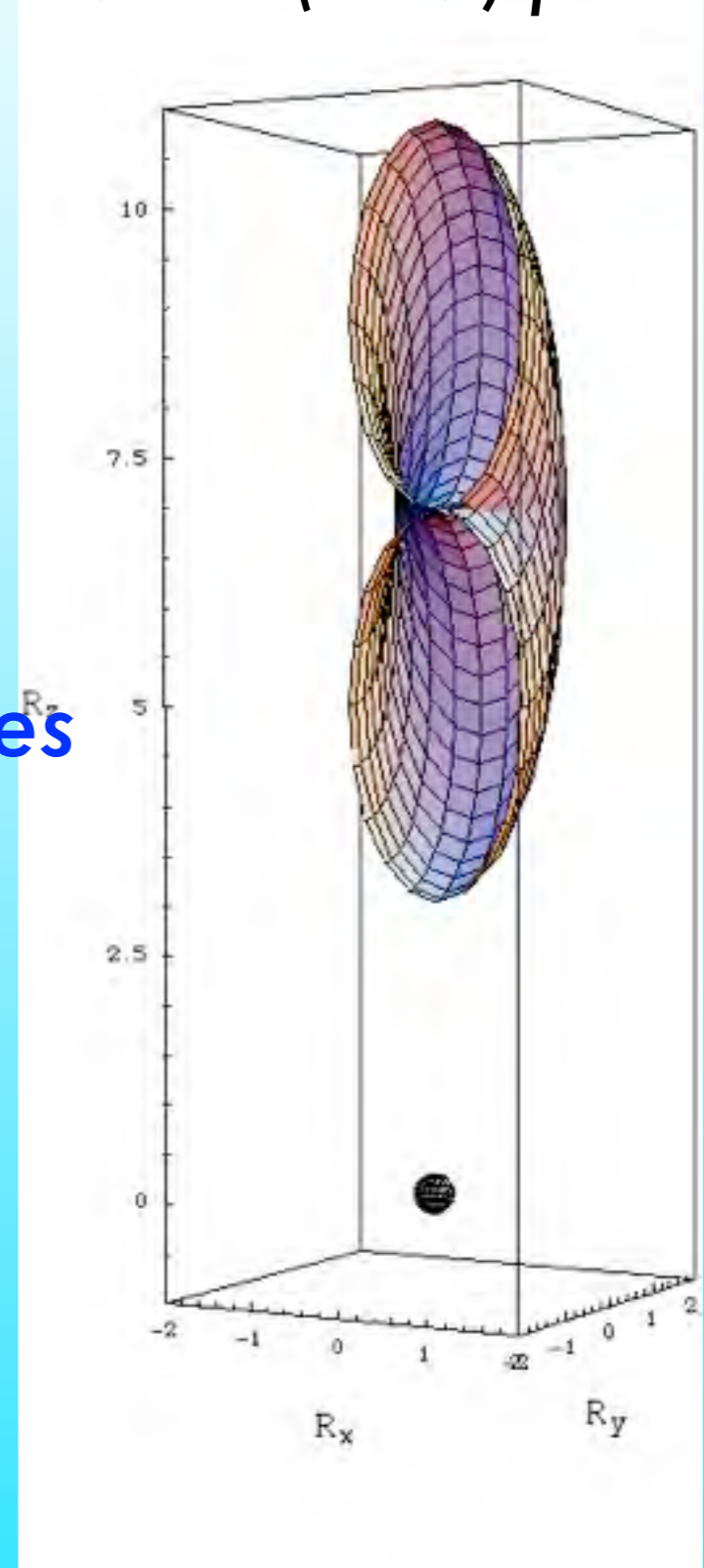
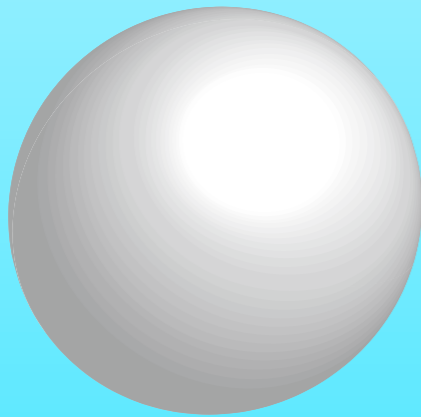
3rd momentum: time line



co-dimension 3

In 3D,  $3-3=0$  : point nodes

topological stability



$$H(\mathbf{k}) = \mathbf{R}(\mathbf{k}) \cdot \boldsymbol{\sigma} = \begin{pmatrix} R_z & R_x - iR_y \\ R_y + iR_x & -R_z \end{pmatrix}$$



# Geometrical meaning of Chiral symmetry

$$H(\mathbf{k}) = \mathbf{R}(\mathbf{k}) \cdot \boldsymbol{\sigma} = \begin{pmatrix} R_z & R_x - iR_y \\ R_y + iR_x & -R_z \end{pmatrix} \quad E = \pm |\mathbf{R}(\mathbf{k})|$$

3D  $(R_x, R_y, R_z)$

Chiral symmetry

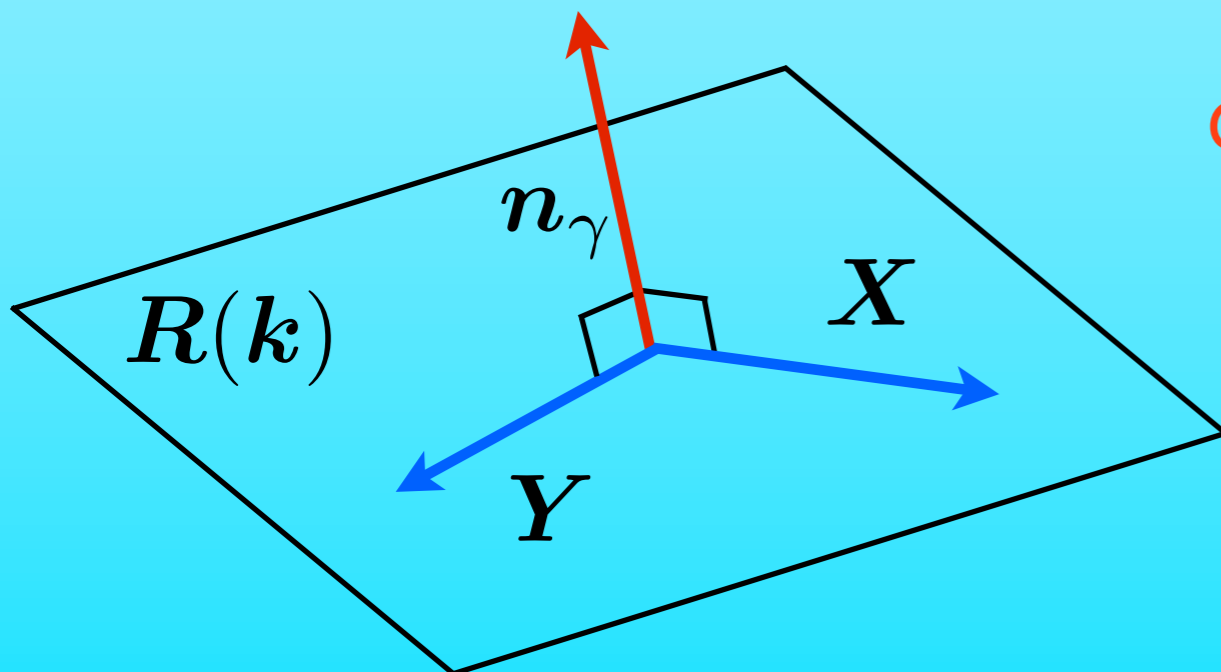
$$\{H_{\text{eff}}, \exists \gamma\} = H_{\text{eff}}\gamma + \gamma H_{\text{eff}} = 0 \quad \gamma^2 = 1$$

$$\gamma = \begin{cases} \sigma_z & : \text{bipartite lattice \& hopping between them} & R_z = 0 \\ \sigma_y & : \text{real } H_{\text{eff}} : \text{Time reversal \& Inversion} & R_y = 0 \end{cases}$$

Generically

$$\gamma = \mathbf{n}_\gamma \cdot \boldsymbol{\sigma} \quad \{H_{\text{eff}}, \gamma\} = 0 \iff \mathbf{n}_\gamma \perp \mathbf{R}$$

Zero gap condition: Dirac dispersion  $H_{\text{eff}} \rightarrow 0, \mathbf{k} \rightarrow \mathbf{k}_0$



Chiral Symmetry

$$\{H, \exists \gamma\} = 0, \quad \gamma^2 = 1$$

co-dimension of Dirac cones=2

graphene, d-wave superconductor in 2D



# Topological stability of the Doubled Dirac cones

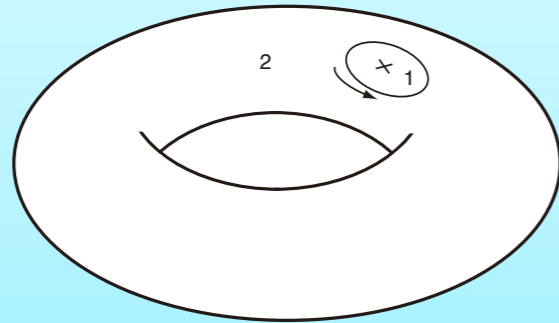
2D Nielsen-Ninomiya theorem

c.f. 4D graphene & chiral symmetry, M. Creutz '08  
also with TR inv. 5D YH, '10

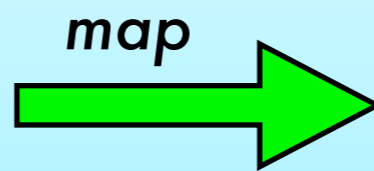
$$H(\mathbf{k}) = \mathbf{R}(\mathbf{k}) \cdot \boldsymbol{\sigma} = \begin{pmatrix} R_z & R_x - iR_y \\ R_y + iR_x & -R_z \end{pmatrix} \quad 3D (R_x, R_y, R_z)$$

2D Brillouin zone : periodic in  $k_x$  &  $k_y$

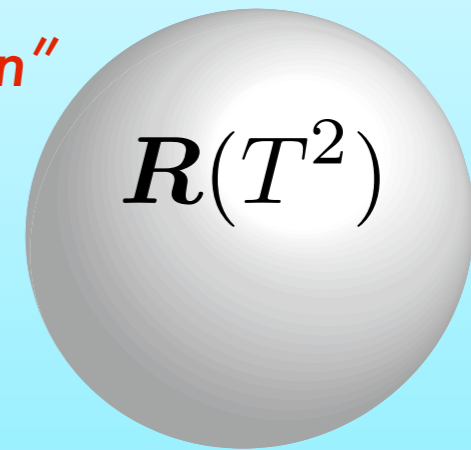
2D Torus  $T^2$



Generically 2-D closed surface in 3D



"balloon"



Chiral symmetry  $\{H, \gamma\} = 0 \iff \mathbf{n}_\gamma \perp \mathbf{R}$

$$\gamma = \mathbf{n}_\gamma \cdot \boldsymbol{\sigma}$$

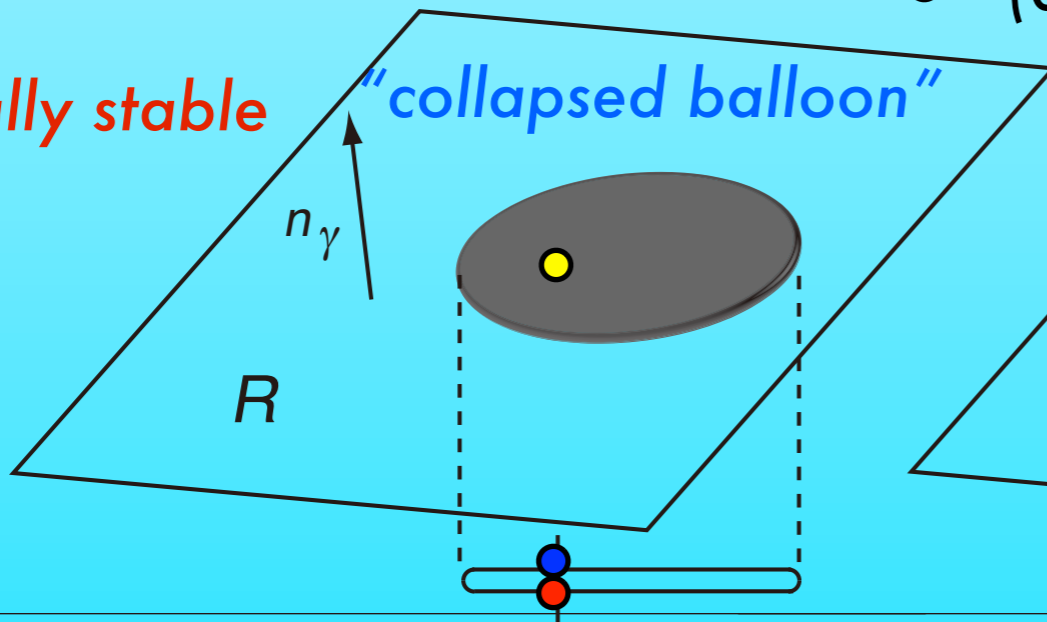
$\mathbf{R}(\mathbf{k})$  is on a plane normal to  $\mathbf{n}_\gamma$

$R(T^2)$  is collapsed on the plane

$\bullet = (0,0,0)$

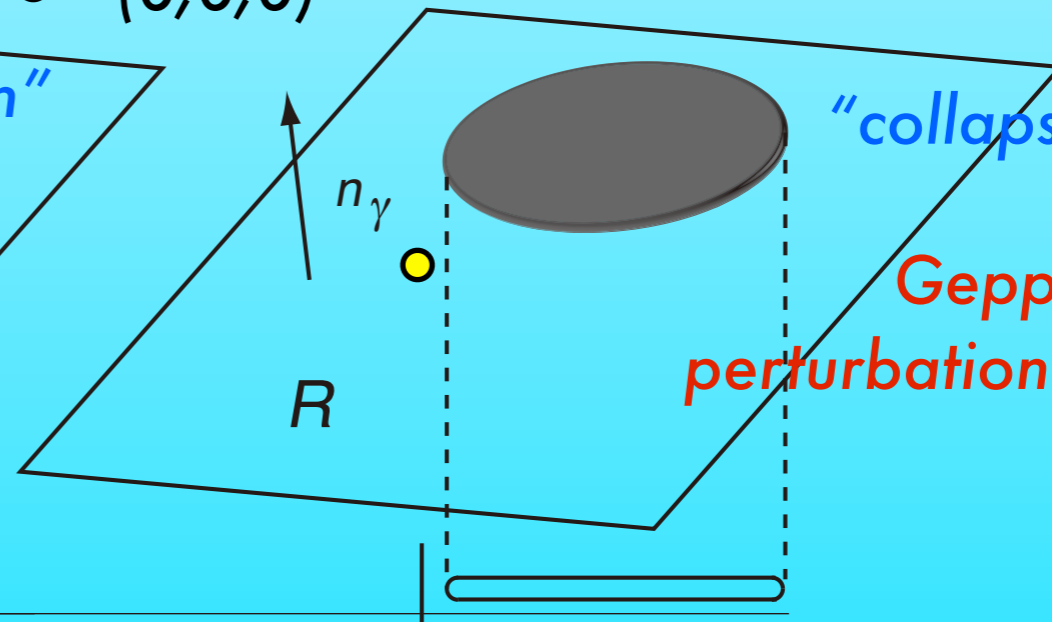
Topologically stable

"collapsed balloon"



"collapsed balloon"

Gepped :  
perturbation is too large

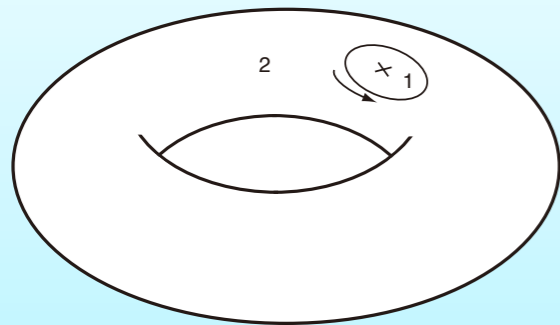


doubled Dirac cones ● ●

YH-Fukui-Aoki, '06

# Graphene with deformation

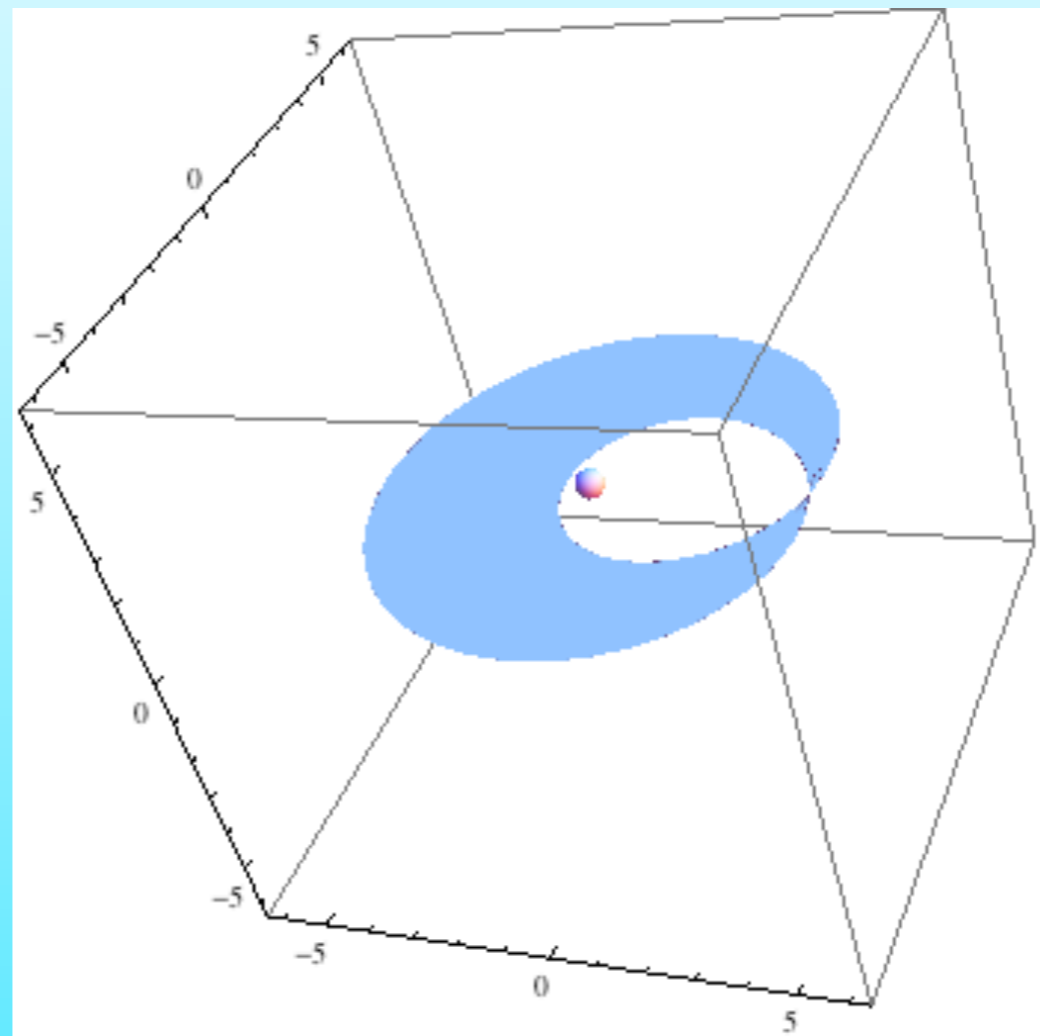
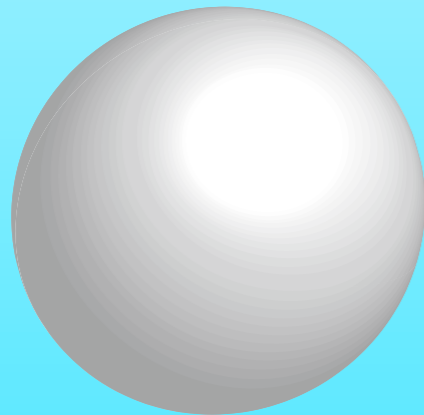
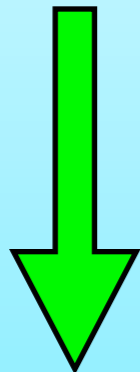
2D Brillouin zone



co-dimension 2

YH-Fukui-Aoki, '06

deformation of the system: time line



In 2D with chiral symmetry,  $2-2=0$  *topological stability in 2D* Dirac cones of graphene d-wave superconductor

# Possible Line Nodes (A Model)

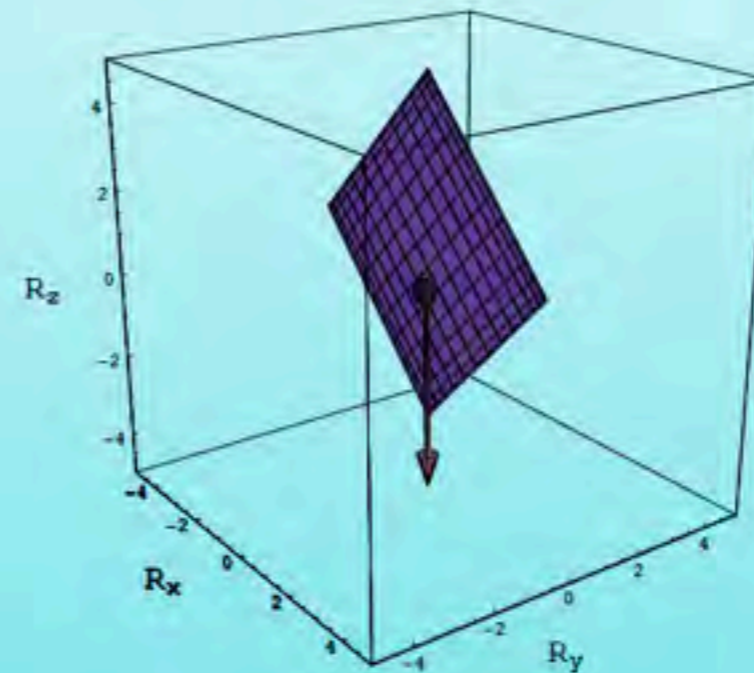
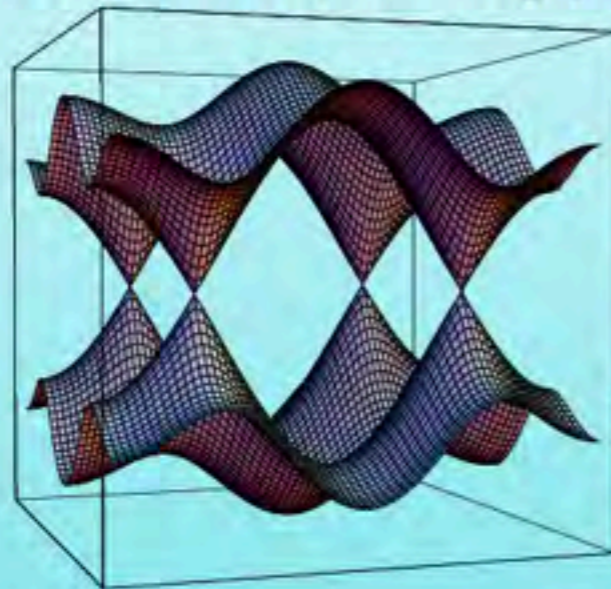
$$\mathbf{R} = \mathbf{R}(\mathbf{k}) = (\text{Re } \Delta(\mathbf{k}), -\text{Im } \Delta(\mathbf{k}), \epsilon(\mathbf{k}))$$

$$\Delta(\mathbf{k}) = 2\Delta_{x^2-y^2}(\cos k_x - \cos k_y) \text{ :Real!}$$

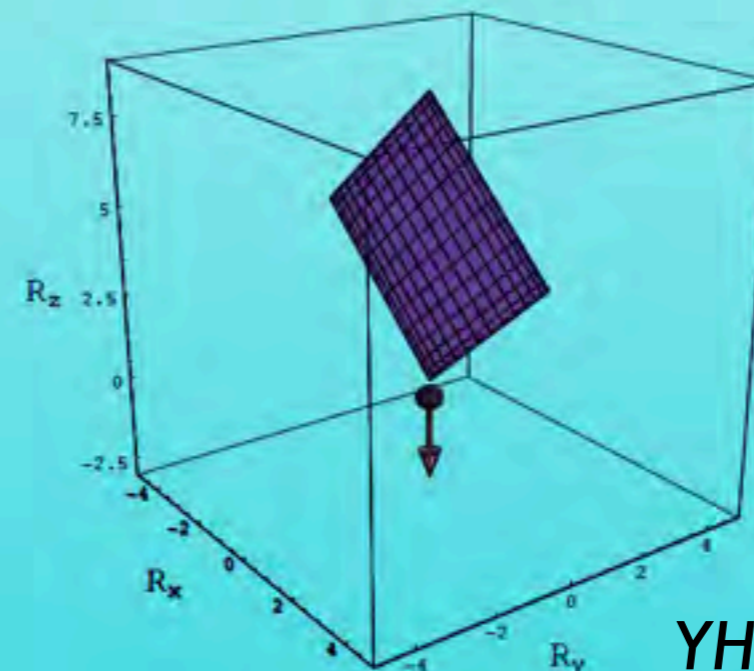
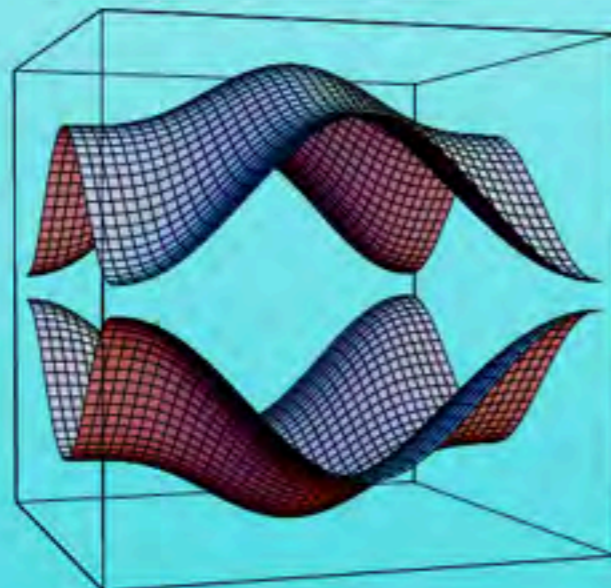
$$\epsilon(\mathbf{k}) = -2(\cos k_x + \cos k_y + \cos k_z) - \mu, \Delta_{x^2-y^2} = 1, t = 1, \mu = -3$$

Quasiparticle Dispersion ( $k_x - k_y$  plane)

$$k_z = 0$$



$$k_z \approx 0.76\pi$$



*c.f. Blount'85*

YH-Ryu-Kohmoto, '04



Gapless

Topological !

Nodes characterize the phase topologically

Generic

co-dimension 3

In 3D,  $3-3=0$  : point nodes : ABM state of He  
Weyl semi-metal

topological stable Dirac point

Volovik '97

YH-Ryu '02

YH-Ryu-Kohmoto '04

Burkov-Balents '11

with TR invariance/chiral symmetry

co-dimension 2

In 3D with TR invariance,  $3-2=1$  : line nodes super

Blount '85

In 2D with chiral symmetry  $2-2=0$  : Dirac cones of graphene  
with TR invariance d-wave superconductor

Wallace '47

YH-Ryu & Ryu-YH '02

# Plan

★ *Why topological ?*

★ Novel phases without symmetry breaking

★ Why Symmetry ?

★ *Symmetry protection of gap nodes*

Dimension & co-dimension

Gapped

isotropic superfluidity & graphene

★ **Topological order parameter by quantum interference**

★ **Berry connection:  $Z_2$  Berry phases & Chern number**

★ **Successful examples**

★ Zoo of edge states as topological order parameters

★ Bulk-edge correspondence

★ Examples : Zoo of what we care.

Gapped

# Are insulators boring ??

Gapped: Nothing in the gap : cf. Nambu-Goldstone boson

No low lying excitations

No Response against small perturbation

??



???

~~gapless modes:  
acoustic phonons  
zero sounds  
spin waves~~

Absence of low energy excitations  
Energy gap above the ground state

Lots of variety

Absence of fundamental symmetry breaking (mostly)

No responses against for small perturbation



Gapped

Topological !

Adiabatic invariants Chern numbers,  $Z_Q$  Berry phases  
protected by symmetry

Parameter dependent hamiltonian  $\rightarrow$  Berry connection

★ Intrinsicly quantized

• Chern numbers: 1st, 2nd, 3rd, ....

$$C_1 = -\frac{1}{2\pi i} \int_{M^2} F$$

QHE ...

$Z$

..., -2, -1, 0, 1, 2, ...

★ Symmetry protected quantization

• Berry phases & generalization:

$$\gamma_1 = -\frac{1}{2\pi i} \int_{M^1} A$$

Quantum spin chains,

Spin-QHE ...

$Z_2$

0 or 1/2

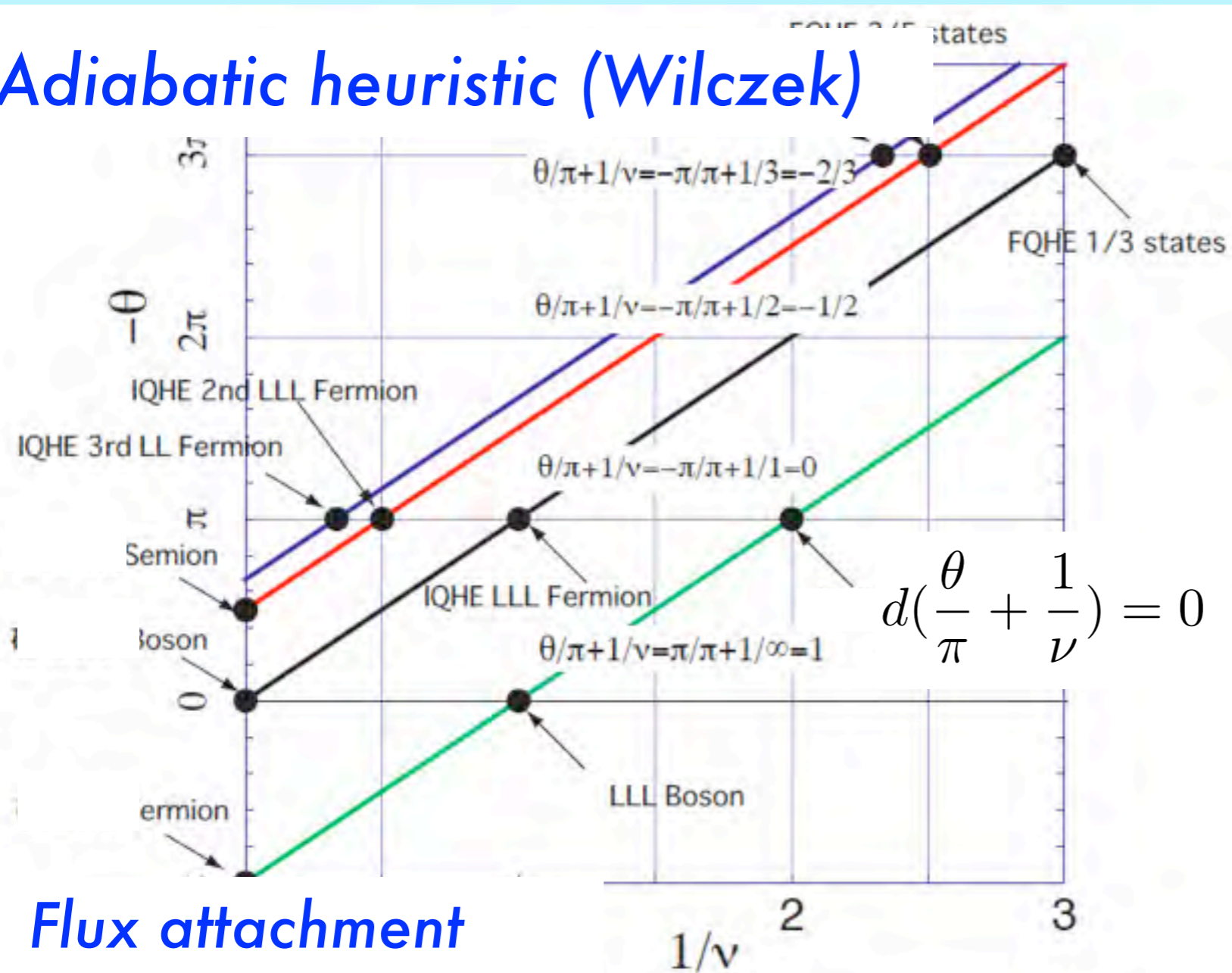
Gapped

Topological !

Adiabatic invariants Chern numbers,  $Z_Q$  Berry phases

Fractional QHE protected by symmetry

Adiabatic heuristic (Wilczek)



Connect states by adiabatic process

Flux attachment

# Classical vs Quantum

## ★ “Classical” Observables in Quantum Physics

$\mathcal{O}_{cl} : H(\text{energy}), \mathbf{p}(\text{momentum}), n(\mathbf{r})(\text{charge density}) \dots$

$\mathcal{O}_{cl} \xrightarrow{\text{quantization}} \mathcal{O} : \text{Hermite Operator}$

$$\langle \mathcal{O} \rangle_G = \langle G | \mathcal{O} | G \rangle = \langle G' | \mathcal{O} | G' \rangle = \langle \mathcal{O} \rangle_{G'}$$

$$|G'\rangle = |G\rangle e^{i\phi} \quad : \text{Independent of the phase}$$

★ with  $N$  fold degeneracy  $\Psi = (|G_1\rangle, \dots, |G_N\rangle)$

$$\langle \mathcal{O} \rangle_\Psi = \frac{1}{N} \sum_i \langle G_i | \mathcal{O} | G_i \rangle = \frac{1}{N} \text{Tr } \Psi^\dagger \mathcal{O} \Psi$$

$$= \frac{1}{N} \text{Tr } \Psi'^\dagger \mathcal{O} \Psi' = \langle \mathcal{O} \rangle_{\Psi'}$$

$$\Psi = \Psi' U, \quad U : \text{Unitary}$$

Unitary Invariant ?

YES!

# Berry connection as quantum interference

## ★ “Quantum” Observables !

★ No classical Correspondences

★ Quantum Interferences between 2 different states

★ Aharonov-Bohm Effects

★ Geometrical Phases  $\langle G(t_A) | G(t_B) \rangle \neq \langle G'(t_A) | G'(t_B) \rangle$

★ Berry connection & Phases  $|G(t)\rangle = |G'(t)\rangle e^{i\phi(t)}$

$$\langle G | G + dG \rangle = 1 + \langle G | dG \rangle$$

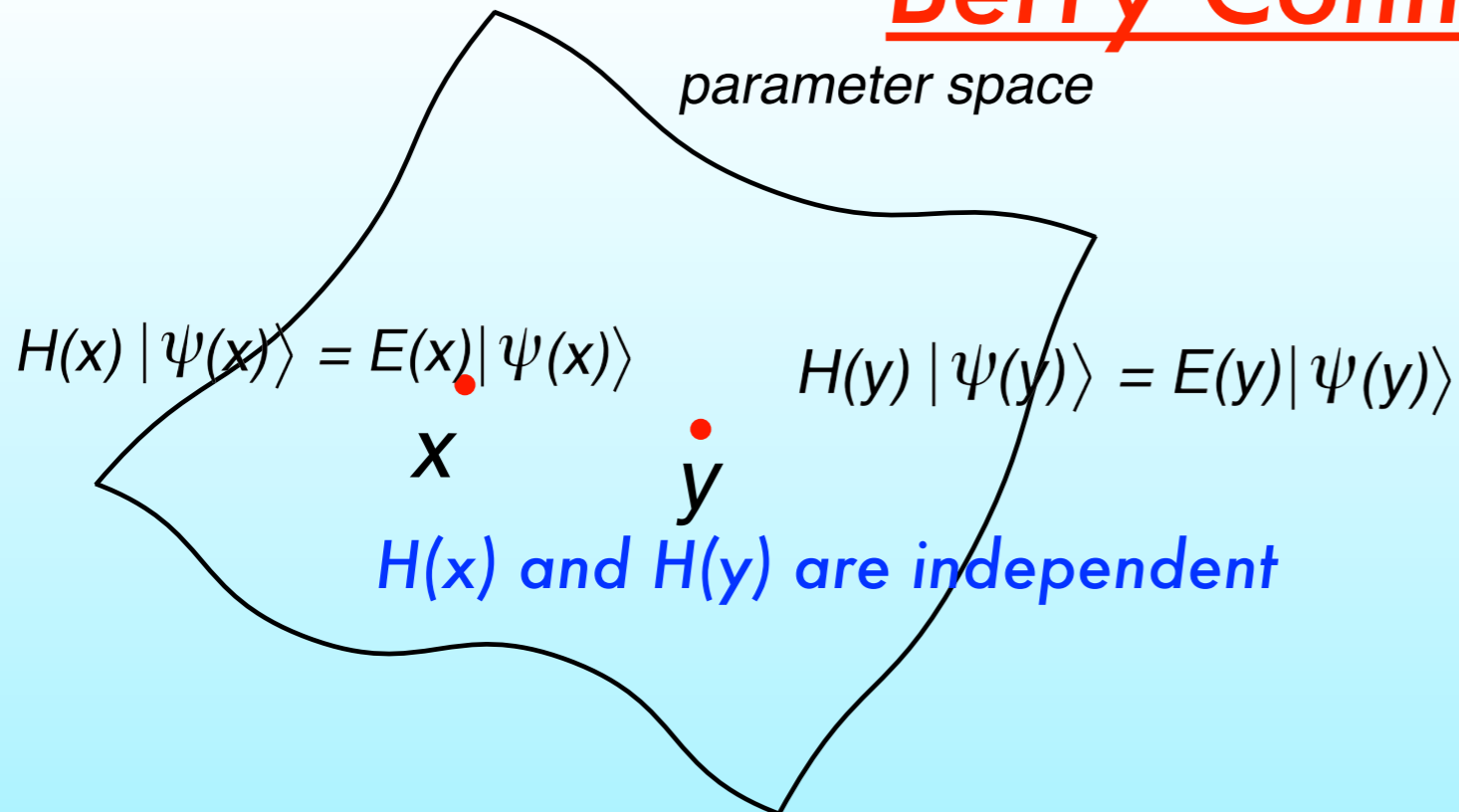
$$A = \langle G | dG \rangle \quad \text{:Berry Connection}$$

$$i\gamma = \int A \quad \text{:Berry Phase}$$

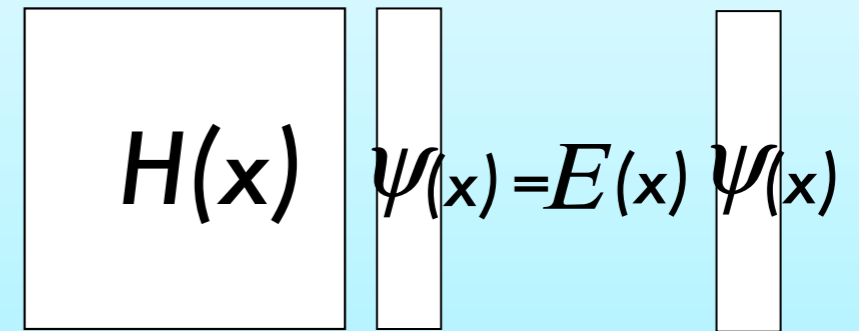
~~Unitary Invariant ?~~ **NO ! Phase dependent**

# Berry Connection?

Berry '84



Eigenvectors ( space )  
with Parameters



(Abelian)

Information between nearby states

Fiber Bundle

**Berry connection :**  $A_\psi = \langle \psi | d\psi \rangle = \langle \psi | \frac{d}{dx} \psi \rangle dx$ .  
gauge potential

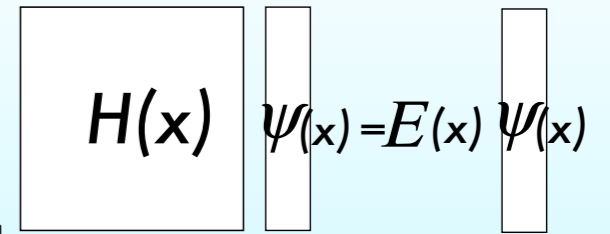
**Gauge Transformation**      phase change=gauge transformations

$$|\psi(x)\rangle = |\psi'(x)\rangle e^{i\Omega(x)} \quad \text{phase fix = gauge fix}$$

$$A_\psi = A'_\psi + id\Omega = A'_\psi + i \frac{d\Omega}{dx} dx$$

# Berry phase and its gauge dependence

★ **Parameter Dependent Hamiltonian**



$$H(x)|\psi(x)\rangle = E(x)|\psi(x)\rangle, \quad \langle\psi(x)|\psi(x)\rangle = 1.$$

★ **Berry Connections**  $A_\psi = \langle\psi|d\psi\rangle = \langle\psi|\frac{d}{dx}\psi\rangle dx.$

★ **Berry Phases**  $i\gamma_C(A_\psi) = \int_C A_\psi$  (Abelian)

★ **Phase Ambiguity of the eigen state**

$$|\psi(x)\rangle = |\psi'(x)\rangle e^{i\Omega(x)}$$

**Gauge Transformation**

$$A_\psi = A'_\psi + id\Omega = A'_\psi + i\frac{d\Omega}{dx}dx$$

★ **Berry phases are not well-defined without**

$$\gamma_C(A_\psi) = \gamma_C(A_{\psi'}) + \int_C d\Omega$$

**specifying the gauge**

$2\pi \times (\text{integer})$  if  $e^{i\Omega}$  is single valued

★ **Well Defined up to mod  $2\pi$**

$$\gamma_C(A_\psi) \equiv \gamma_C(A_{\psi'}) \pmod{2\pi}$$



# Anti-Unitary Operator and Berry Phases

## ★ **Anti-Unitary Operator** (Time Reversal, Particle-Hole)

$$\Theta = KU_{\Theta}, \quad K : \text{Complex conjugate} \\ U_{\Theta} : \text{Unitary} \quad (\text{parameter independent})$$

$$|\Psi\rangle = \sum_J C_J |J\rangle \quad \sum_J C_J^* C_J = \langle\Psi|\Psi\rangle = 1$$

$$|\Psi^{\Theta}\rangle = \Theta|\Psi\rangle = \sum_J C_J^* |J^{\Theta}\rangle, \quad |J^{\Theta}\rangle = \Theta|J\rangle$$

## ★ **Berry Phases and Anti-Unitary Operation**

$$A^{\Psi} = \langle\Psi|d\Psi\rangle = \sum_J C_J^* dC_J \quad \sum_J dC_J^* C_J + \sum_J C_J^* dC_J = 0$$

$$A^{\Theta\Psi} = \langle\Psi^{\Theta}|d\Psi^{\Theta}\rangle = \sum_J C_J dC_J^* = -A^{\Psi}$$

$$\gamma_C(A^{\Theta\Psi}) = -\gamma_C(A^{\Psi})$$

# Anti-Unitary **Invariant State** and Quantized Berry Phases

★ **Anti-Unitary Symmetry**  $[H(x), \Theta] = 0$

★ **Invariant State**  $\exists \varphi, |\Psi^\Theta\rangle = \Theta|\Psi\rangle = |\Psi\rangle e^{i\varphi}$

★ ex. Unique Eigen State  $\simeq |\Psi\rangle$  Gauge Equivalent (Different Gauge)

★ To be compatible with the ambiguity,

the Berry Phases have to be **quantized** as

$$\gamma_C(A^\Psi) = \begin{cases} 0 \\ \pi \end{cases} \pmod{2\pi}$$

$$\gamma_C(A^\Psi) = -\gamma_C(A^{\Theta\Psi}) \equiv -\gamma_C(A^\Psi), \pmod{2\pi}$$

# $Z_2$ Berry phase as a topological order parameter

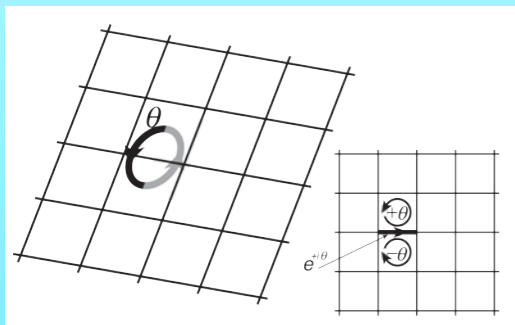
★ Generic Heisenberg Models with possible **frustration**

$$H = \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j \quad \text{Time Reversal Invariant}$$

$$\forall j, \mathbf{S}_j \rightarrow \Theta_T^{-1} \mathbf{S}_j \Theta_T = -\mathbf{S}_j$$

★  $U(1)$  twist as a **Local Probe** to define Berry Phases

$$\mathbf{S}_i \cdot \mathbf{S}_j \rightarrow \frac{1}{2} (e^{-i\theta} S_{i+} S_{j-} + e^{+i\theta} S_{i-} S_{j+}) + S_{iz} S_{jz}$$



$$H(x = e^{i\theta})$$

**Parameter dependent Hamiltonian**

$$C = \{x = e^{i\theta} | \theta : 0 \rightarrow 2\pi\}$$

Define Berry Phases by the Entire Many Spin Wavefunction

Excitation Gap!

Time Reversal Invariance

Quantization

$$\gamma_C = \int_C A_\psi = \int_C \langle \psi | d\psi \rangle = \begin{cases} 0 \\ \pi \end{cases} : \begin{matrix} Z_2 \text{ Berry phase} \\ \text{mod } 2\pi \end{matrix}$$

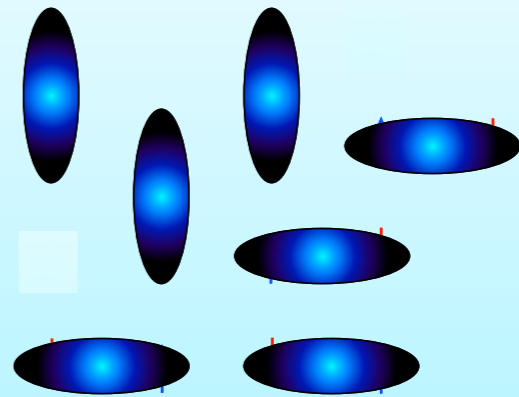
**Topological order parameter at the link  $\langle ij \rangle$**

# Short range entangled states

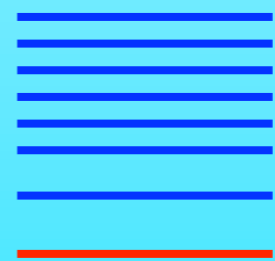
Ex.1) AKLT state



Ex.2) Collection of singlets



Something complicated  
but gapped

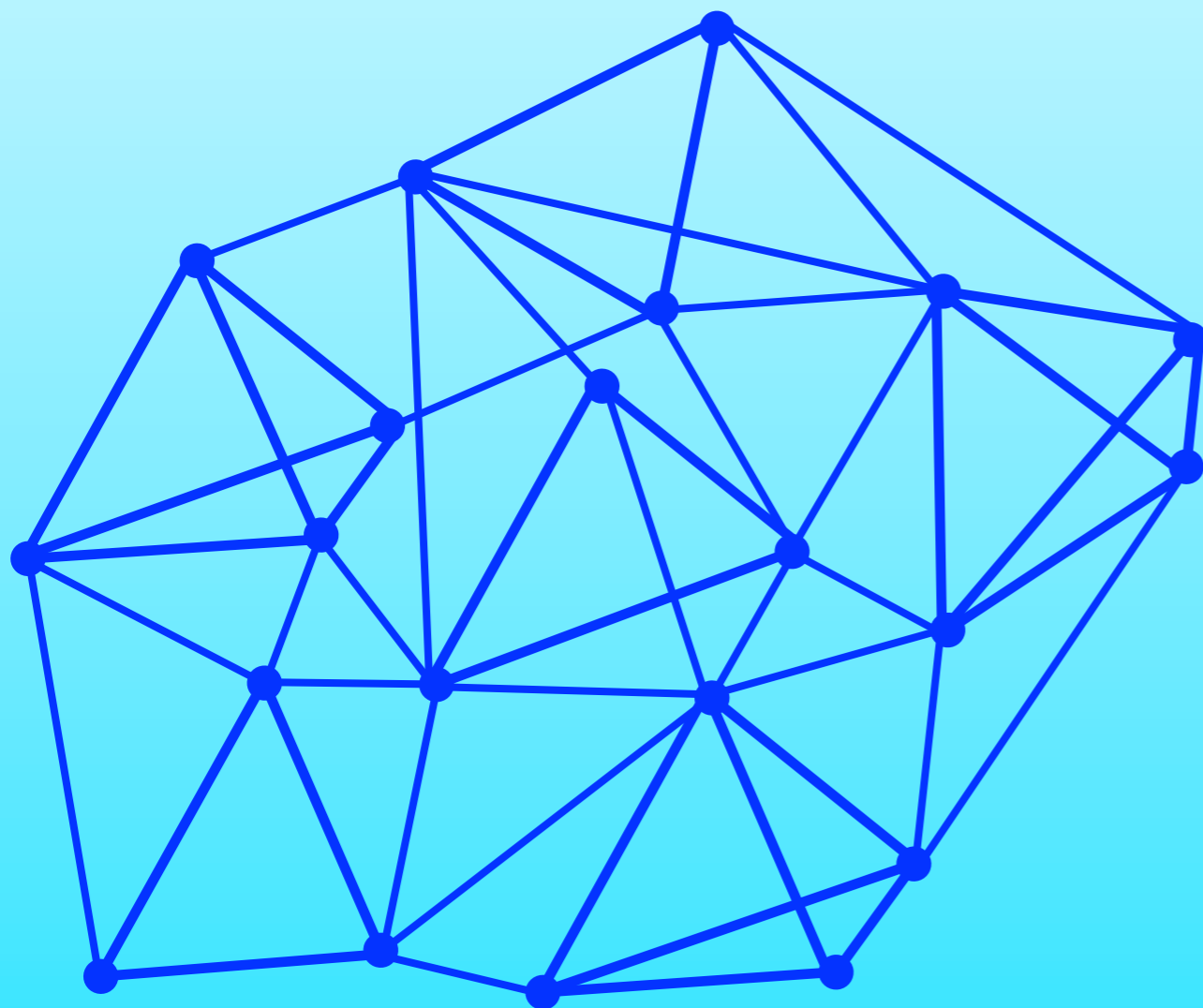


many-body gap  
small

Quantum liquids

# Short range entangled states

*Adiabatic deformation !  
gap remains open*



Something complicated  
but gapped



many-body gap

**Quantum liquids** Short range entangled states

*Adiabatic deformation !  
gap remains open*



Something complicated  
but gapped



many-body gap



**Quantum liquids**

# Short range entangled states

*Adiabatic deformation !  
gap remains open*



Something complicated  
but gapped



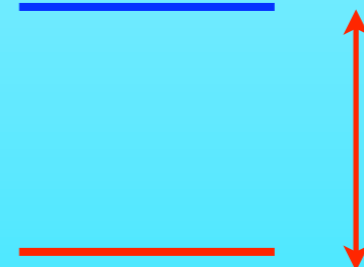
many-body gap

**Quantum liquids** Short range entangled states

*Adiabatic deformation !  
gap remains open*



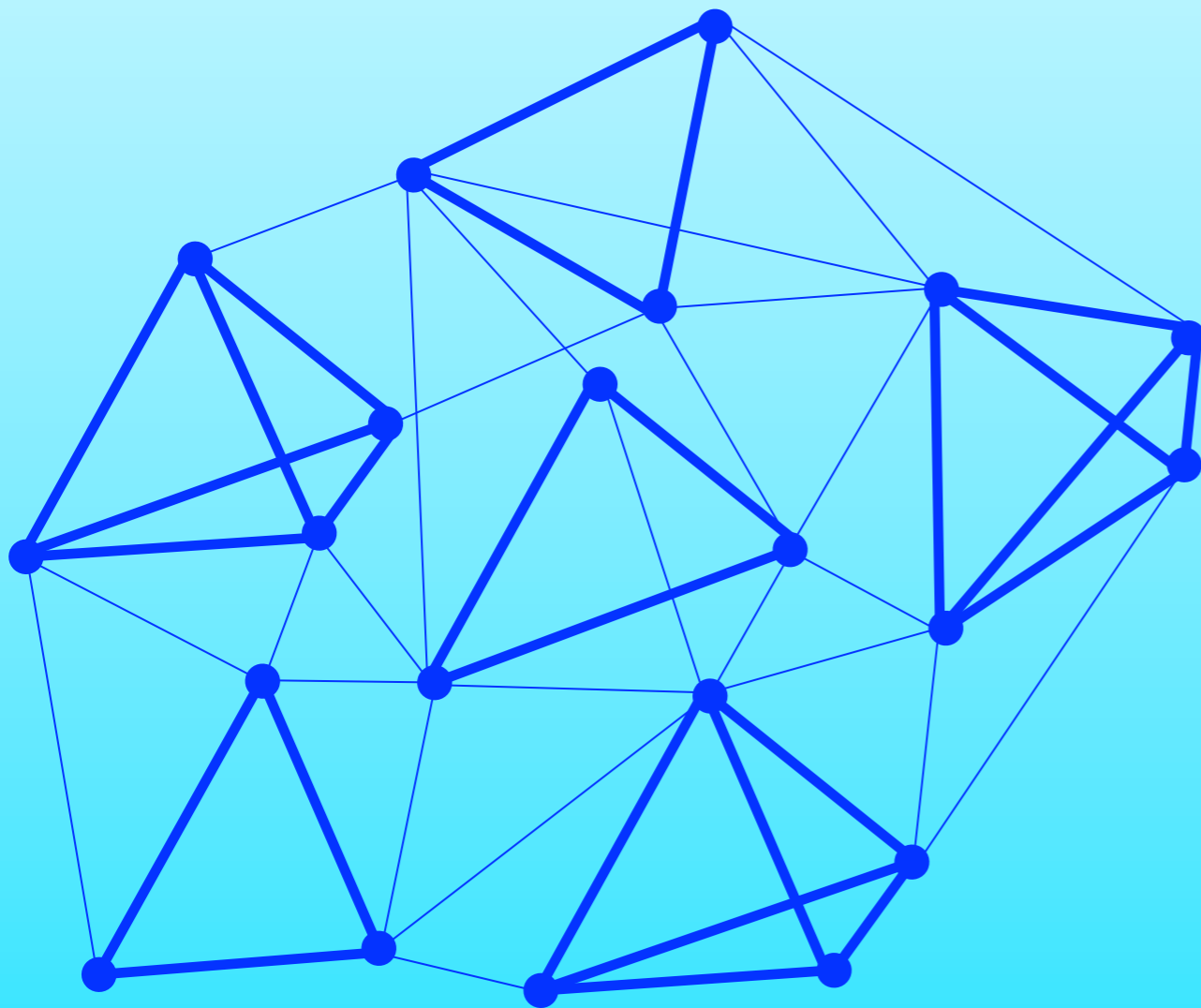
Something complicated  
but gapped



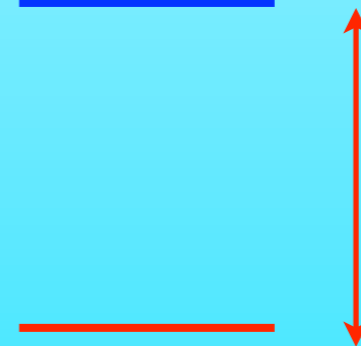
many-body gap

**Quantum liquids** Short range entangled states

*Adiabatic deformation !  
gap remains open*



Something complicated  
but gapped



many-body gap

**Quantum liquids** Short range entangled states

*Adiabatic deformation !  
gap remains open*



Something complicated  
but gapped



many-body gap

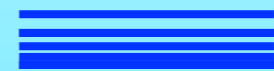
**Quantum liquids**

# Short range entangled states

*Adiabatic deformation !  
gap remains open*



Something complicated  
but gapped



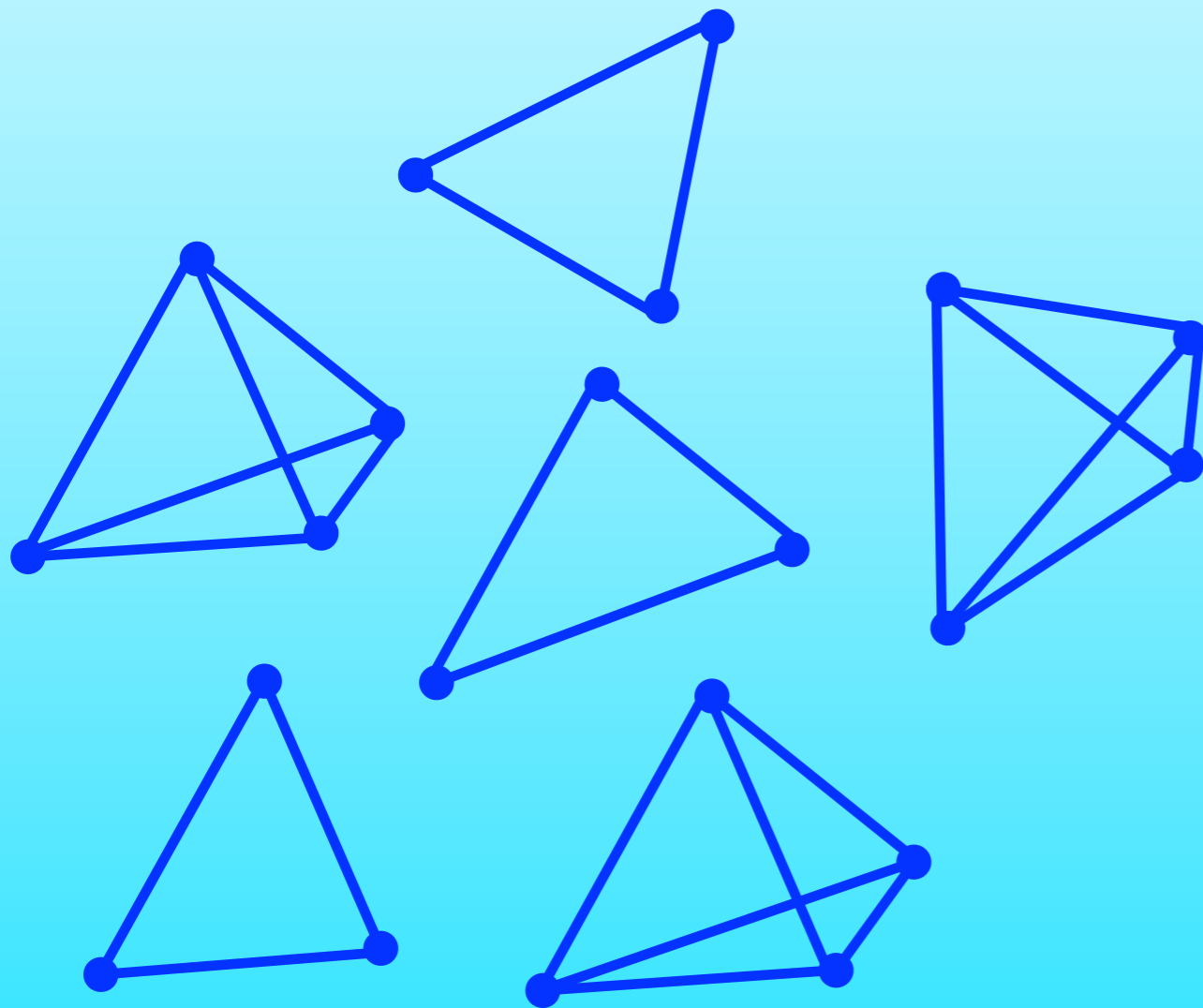
many-body gap



**Quantum liquids** Short range entangled states

*Adiabatic deformation !  
gap remains open*

*Decoupled !*



Something *very simple*  
& gapped



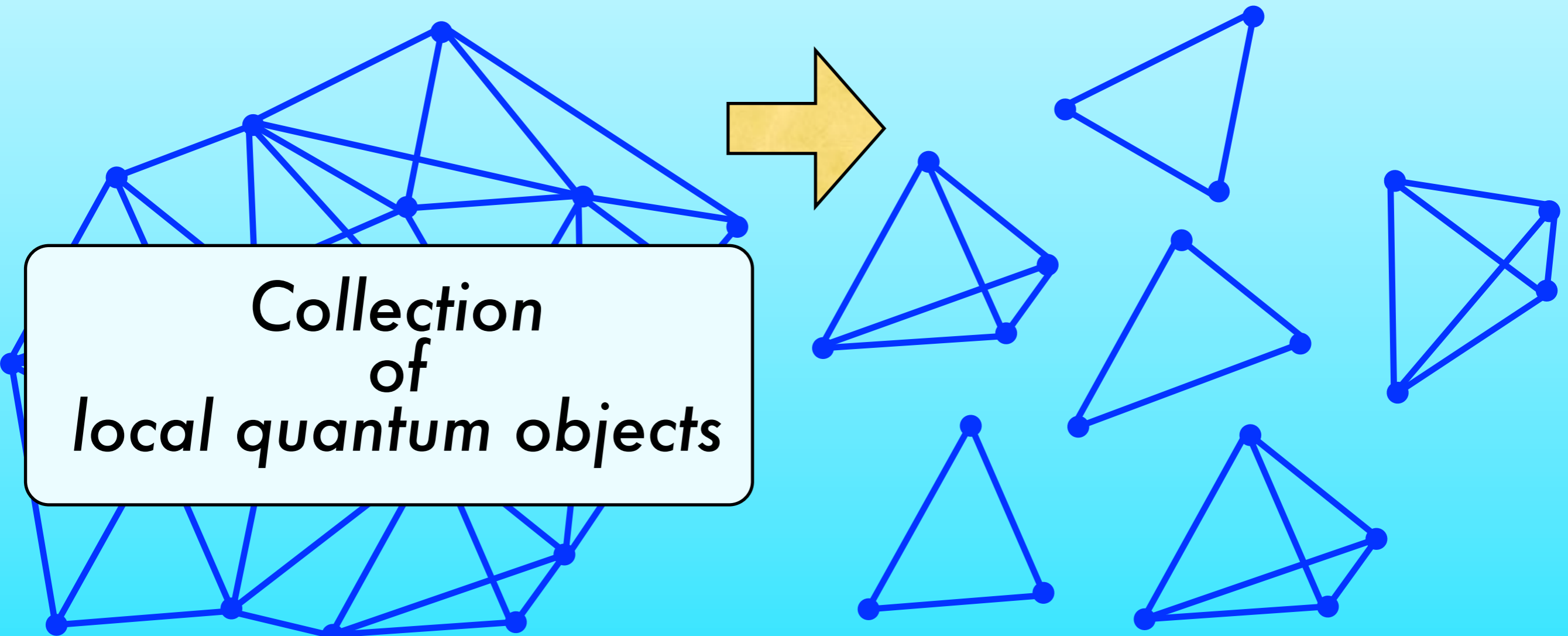
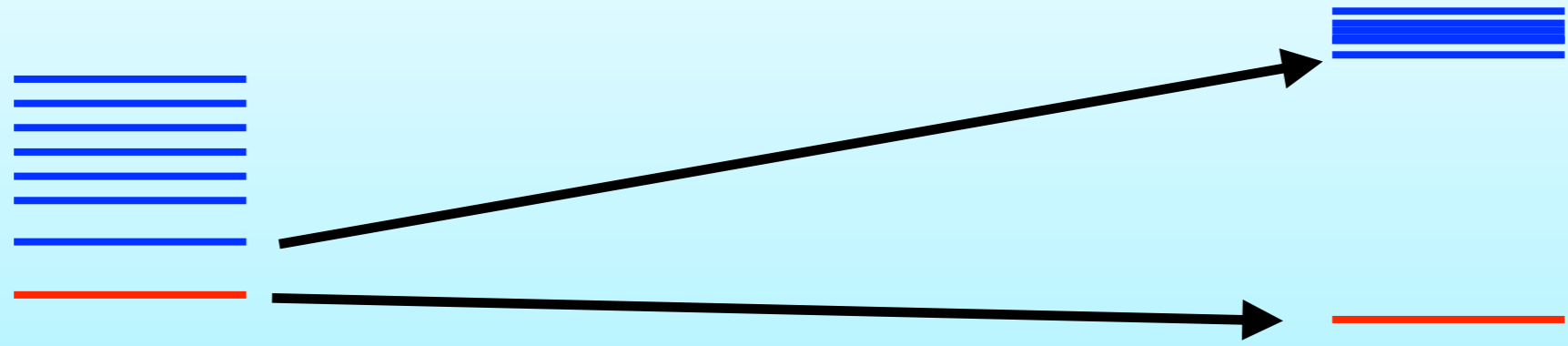
*big !*



many-body gap

**Quantum liquids** Short range entangled states

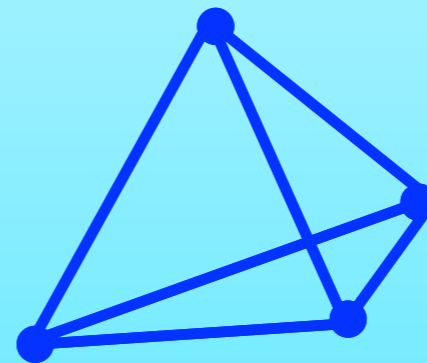
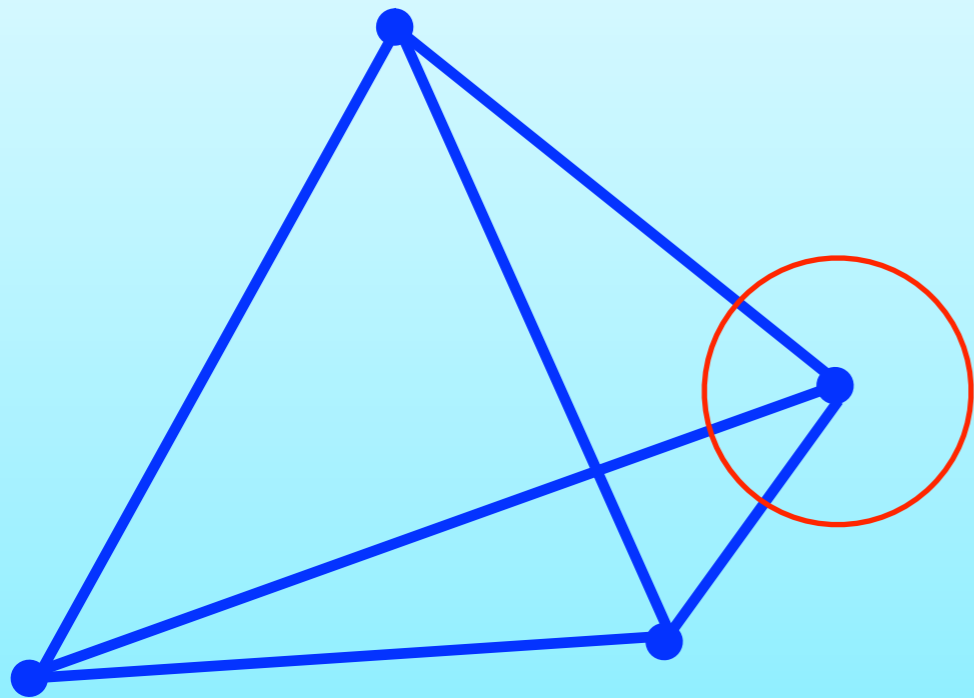
Adiabatic process to be decoupled: gap remains open



Def. of short range entangled state

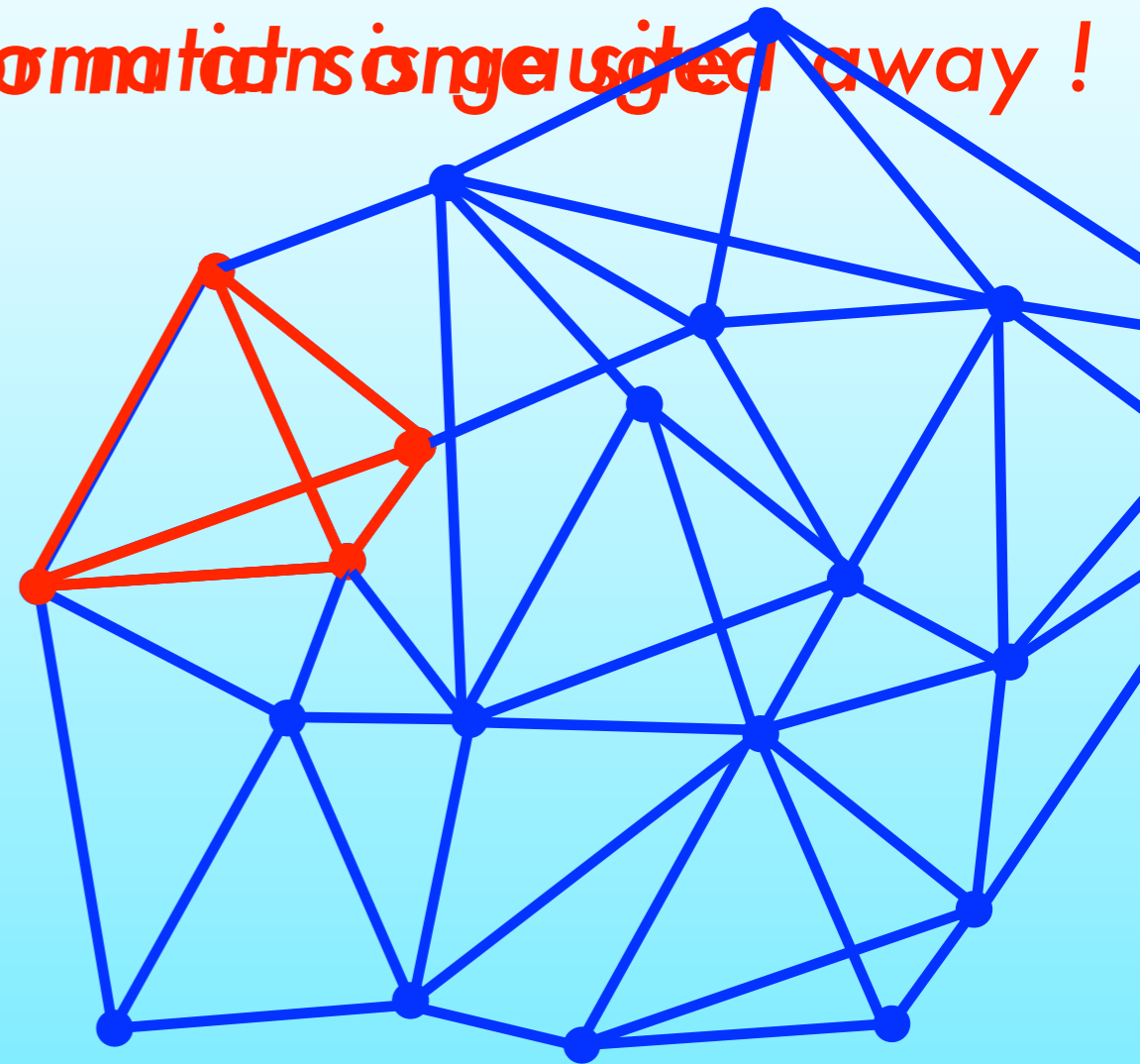
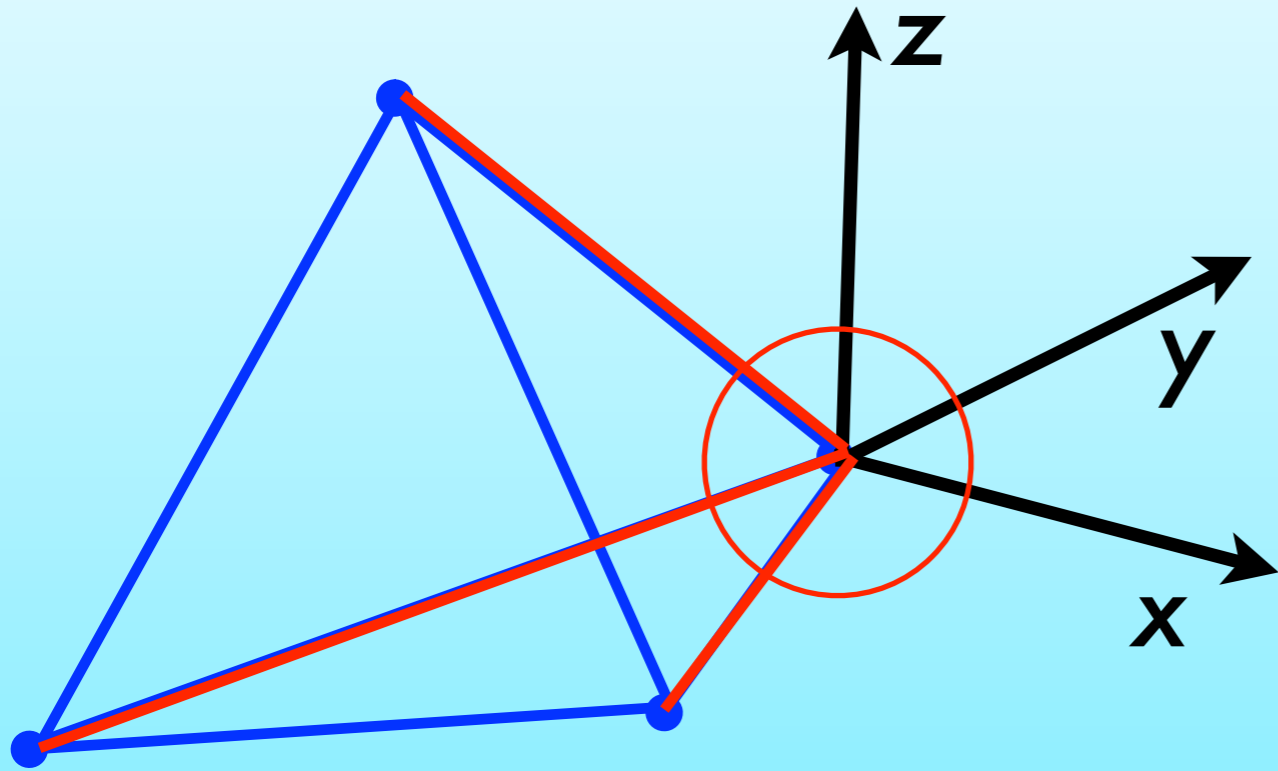
*How to characterize local object ?*

*Consider a gauge transform at some site*



# How to characterize local object ?

If decoupled, the twist by gate transformations is neglected away!



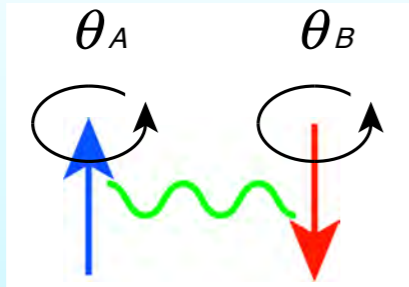
It characterizes locality of the quantum object !

**Answer !**

How to use this locality by skipping the cardinality for a condition ?

# Z<sub>2</sub> Berry phase of Singlet Pair

$$\theta = \theta_A - \theta_B$$



$$H_{AB} = (S_A^x, S_A^y, S_A^z) \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & -\cos \theta \\ & & 1 \end{pmatrix} \begin{pmatrix} S_B^x \\ S_B^y \\ S_B^z \end{pmatrix}$$

$$= \frac{1}{2} (e^{-i\theta} S_A^+ S_B^- + e^{i\theta} S_A^- S_B^+) + S_A^z S_B^z$$

## ★ Local Singlet Pair with the twist

$$|\psi\rangle = \frac{1}{\sqrt{2}} (e^{i\theta/2} |\uparrow_A \downarrow_B\rangle - e^{-i\theta/2} |\downarrow_A \uparrow_B\rangle)$$

## ★ Berry phase of the twisted singlet pair

$$A = \psi^\dagger d\psi$$

$$\psi = \begin{cases} i \frac{1}{\sqrt{2}} e^{i \text{Arg}(a - be^{i\theta})} \begin{pmatrix} 1 \\ -e^{-i\theta} \end{pmatrix} & |a| > |b| \\ i \frac{1}{\sqrt{2}} e^{i \text{Arg}(b - ae^{-i\theta})} \begin{pmatrix} -e^{i\theta} \\ 1 \end{pmatrix} & |a| < |b| \end{cases}$$

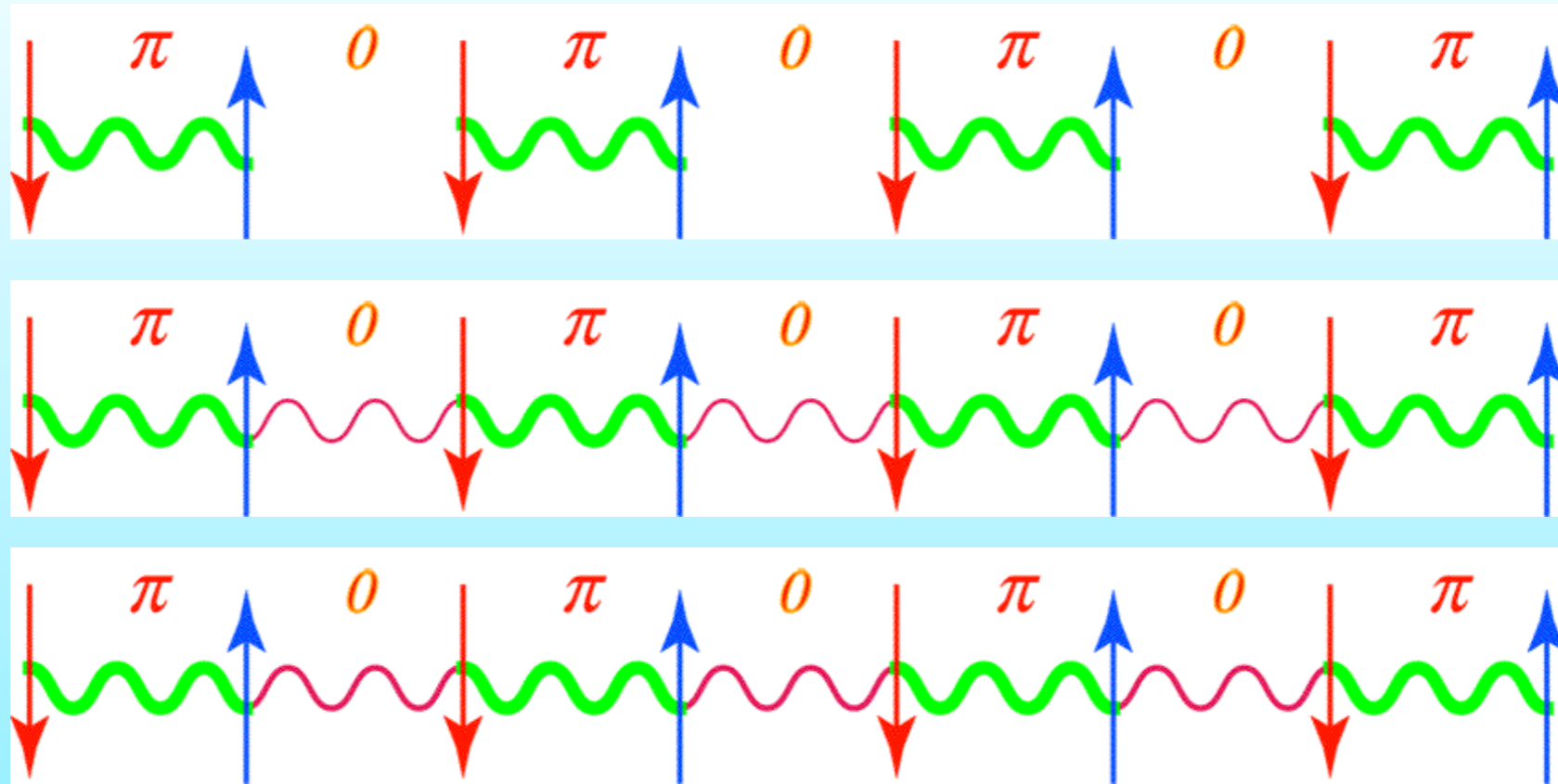
$$\gamma = -i \int A = \begin{cases} -\pi & |a| > |b| \\ \pi & |a| < |b| \end{cases} \quad : a, b \in \mathbb{C} (\text{gauge parameters})$$

$$\gamma_{\text{singlet pair}} = \pi \pmod{2\pi}$$

**A singlet does not carry spin  
but does the Berry phase  $\pi$**

# Adiabatic Continuation & the Quantization

Introduce interaction between singlets



★ **Quantization** of the Berry phases **protects** from **continuous change**

Adiabatic Continuation in a **gapped** system



Renormalization Group in a **gapless** system



# Metal/gapped : physicists & chemists ?

Sorry if I'm wrong

*physicists*

*itinerant electrons*



# Metal/gapped : physicists & chemists ?

Sorry if I'm wrong

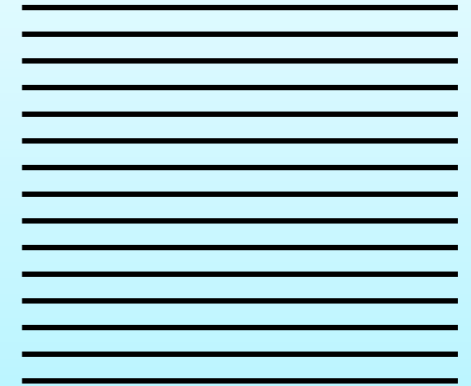
physicists

*itinerant electrons*



*hopping*

make energy band  
metal



# Metal/gapped : physicists & chemists ?

Sorry if I'm wrong

physicists

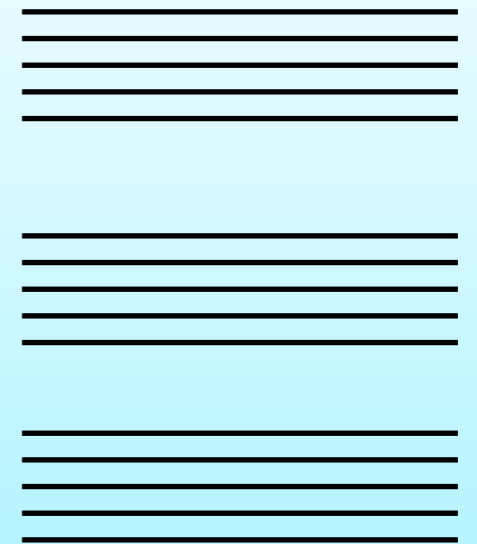
itinerant electrons



hopping

Peierls instability

Opening gap  
stabilize



# Metal/gapped : physicists & chemists ?

Sorry if I'm wrong

physicists

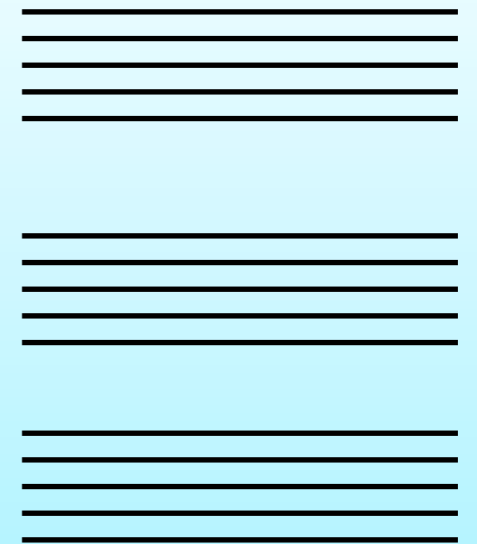
*itinerant electrons*



*hopping*

*Peierls instability*

Opening gap  
stabilize



chemists

*form molecules first*



# Metal/gapped : physicists & chemists ?

Sorry if I'm wrong

physicists

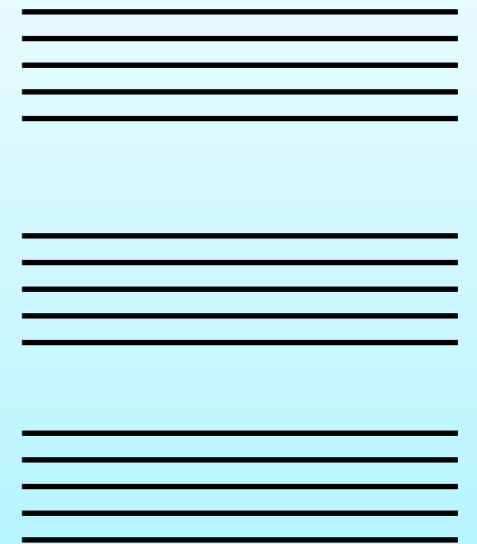
itinerant electrons



hopping

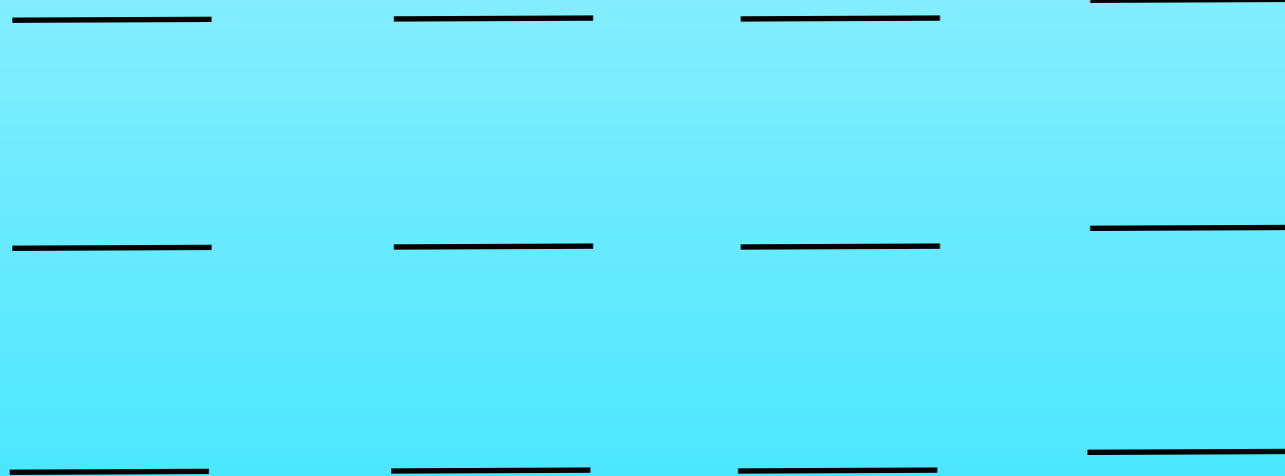
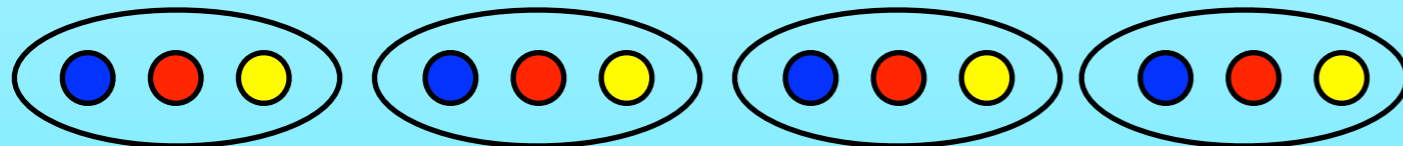
Peierls instability

Opening gap  
stabilize



chemists

form molecules first



# Metal/gapped : physicists & chemists ?

Sorry if I'm wrong

physicists

itinerant electrons



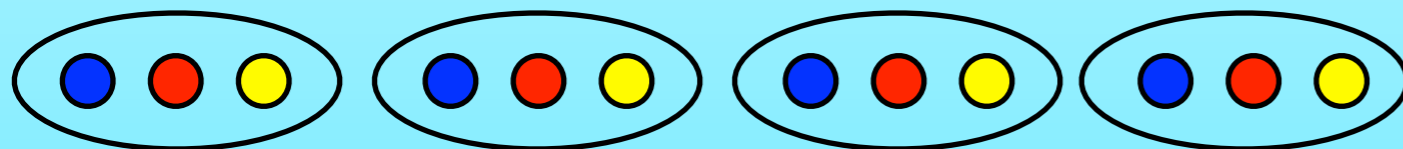
Peierls instability

stabilize



chemists

form molecules first



make bands of molecules

non orthogonality

short range entanglement

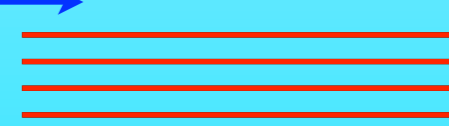


Adiabatic process

chemist way: BETTER !

Insulator

$E_F$  →



Think locally when gapped!

molecules, singlet pairs, bonds



# *Validity of our general scheme*

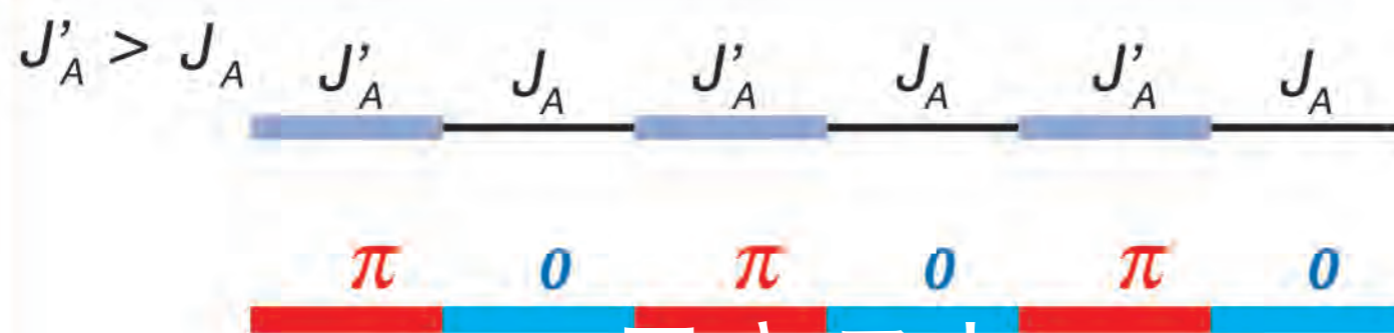
- ★ *Examples in 1D, 2D, 3D and ...*
  - ★ *Integer spin chains with dimerization*
  - ★ *Random hopping models*
  - ★ *Orthogonal dimers in 2D*
  - ★ *BEC-BCS crossover at half filling*
  - ★ *Dimerization transition on Kagome & Pyrochlore*

# 1D $S=1/2$ chains with dimerization

$$H = \sum_{\langle i \rangle} J_i \mathbf{S}_i \cdot \mathbf{S}_{i+1}$$

Y.H., J. Phys. Soc. Jpn. 75 123601 (2006)

AF-AF

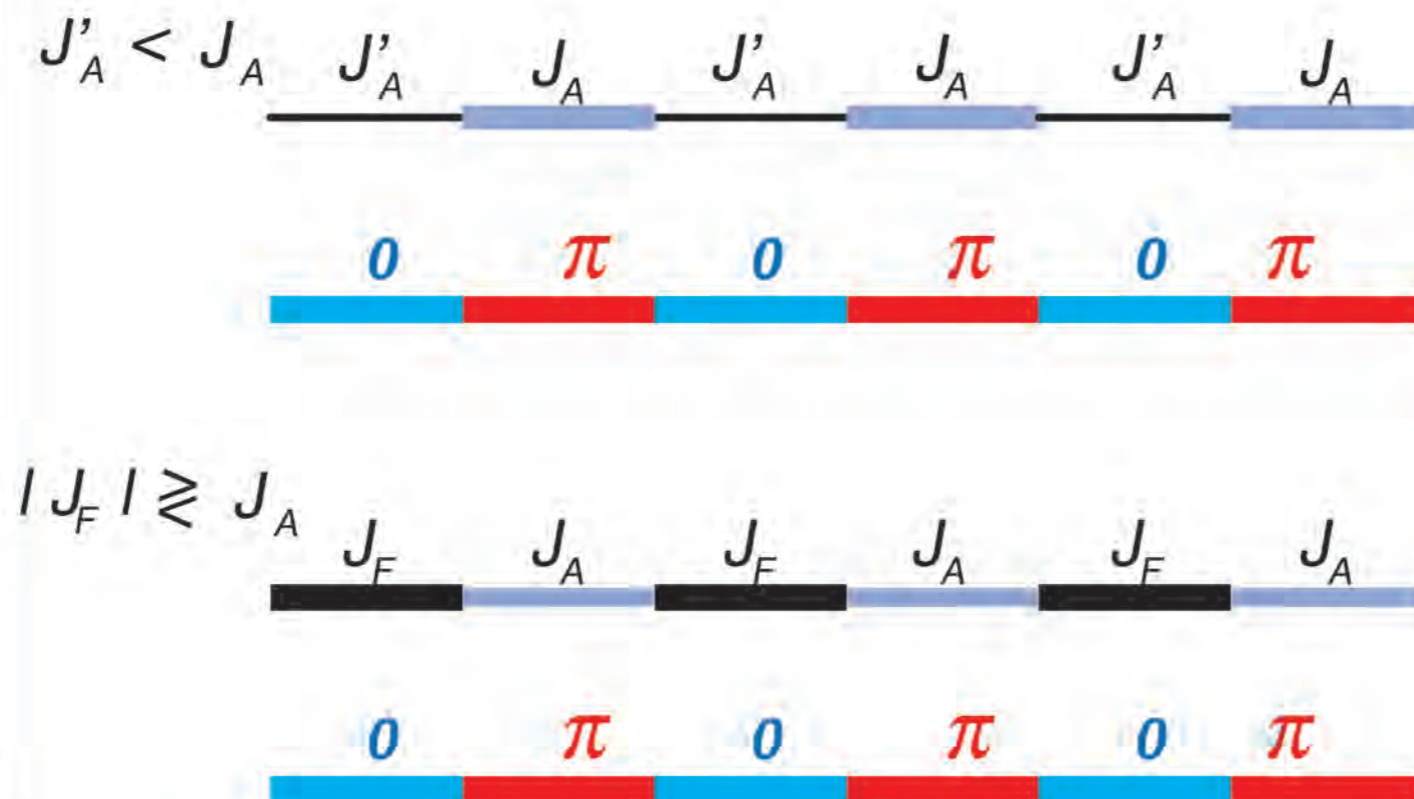


AF-AF case

**Strong** bonds

:  $\pi$  bonds

Ferro-AF



F-AF case

**AF** bonds

:  $\pi$  bonds

Hida

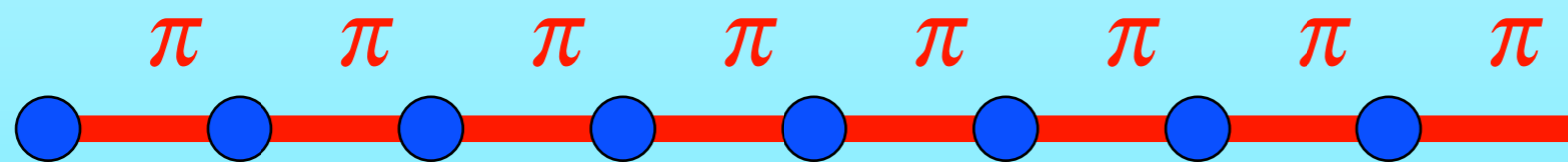
# Heisenberg Spin Chains with integer $S$

$$S=1 \quad (\mathbf{S}_i)^2 = S(S+1), \quad S = 1$$

$$H = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + D \sum_i (S_i^z)^2$$

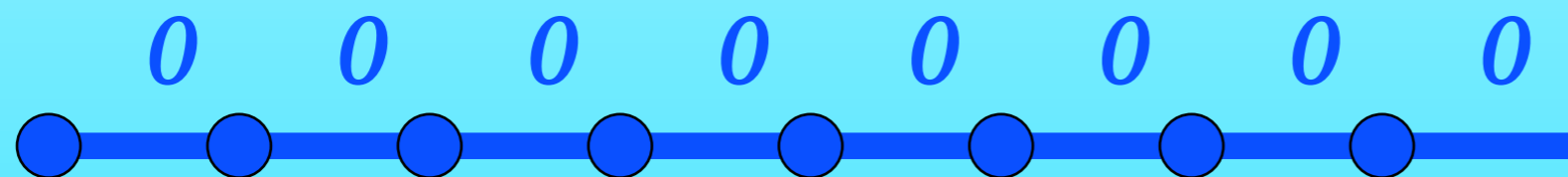
Y.H., J. Phys. Soc. Jpn. 75 123601 (2006)

Haldane phase



$$D < D_C$$

Large  $D$  phase



$$D > D_C$$

Characterize the Quantum Phase Transition

# $S=1,2$ dimerized Heisenberg model

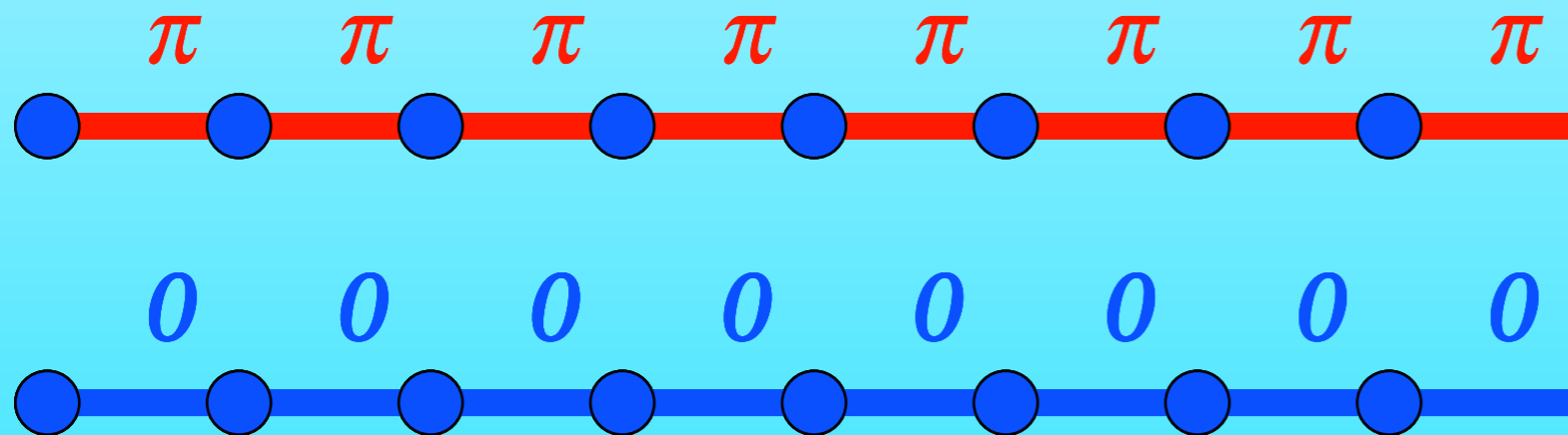
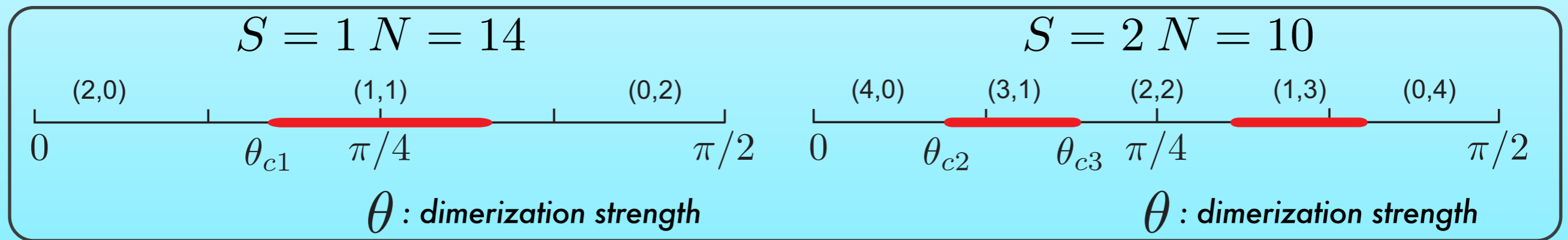
T.Hirano, H.Katsura & YH, Phys.Rev.B77 094431'08

$$H = \sum_{i=1}^{N/2} (J_1 \mathbf{S}_{2i} \cdot \mathbf{S}_{2i+1} + J_2 \mathbf{S}_{2i+1} \cdot \mathbf{S}_{2i+2})$$

$$J_1 = \cos \theta, J_2 = \sin \theta$$

## $Z_2$ Berry phase

Red line : Berry phase  $\pi$



$S=1 \ \& \ 2$

Sequential transitions among gapped phases

# $S=1,2$ dimerized Heisenberg model

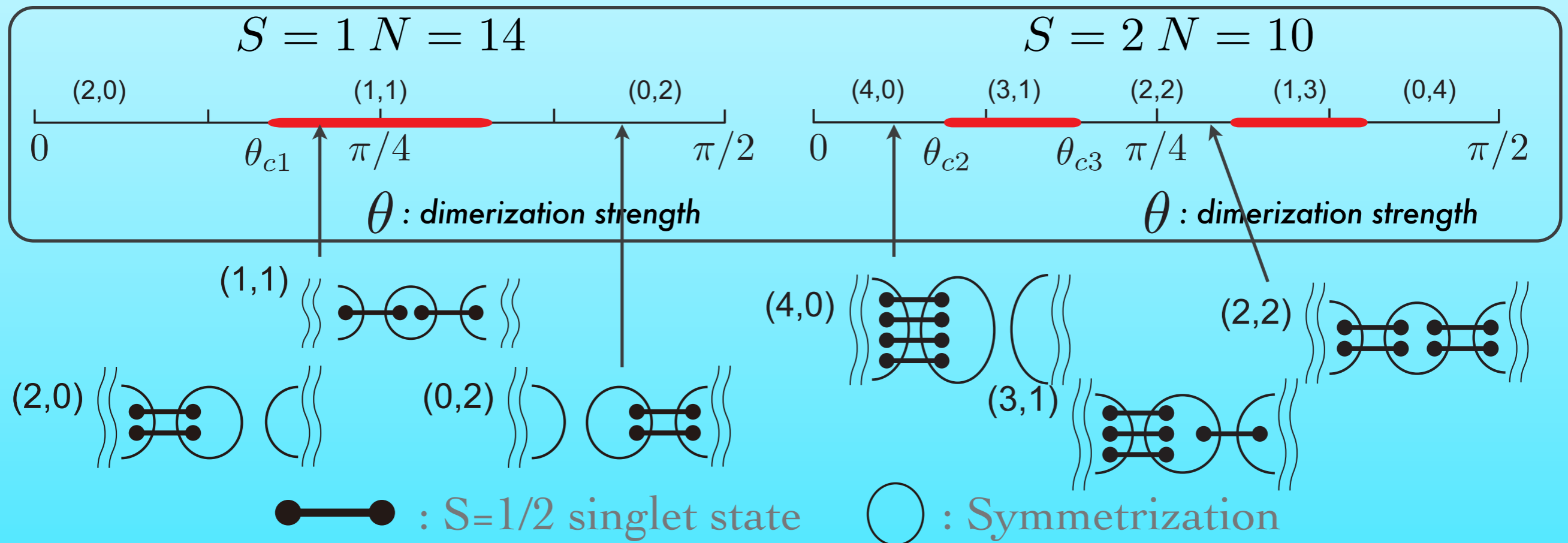
T.Hirano, H.Katsura & YH, Phys.Rev.B77 094431'08

$$H = \sum_{i=1}^{N/2} (J_1 \mathbf{S}_{2i} \cdot \mathbf{S}_{2i+1} + J_2 \mathbf{S}_{2i+1} \cdot \mathbf{S}_{2i+2})$$

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## $Z_2$ Berry phase

Red line : Berry phase  $\pi$



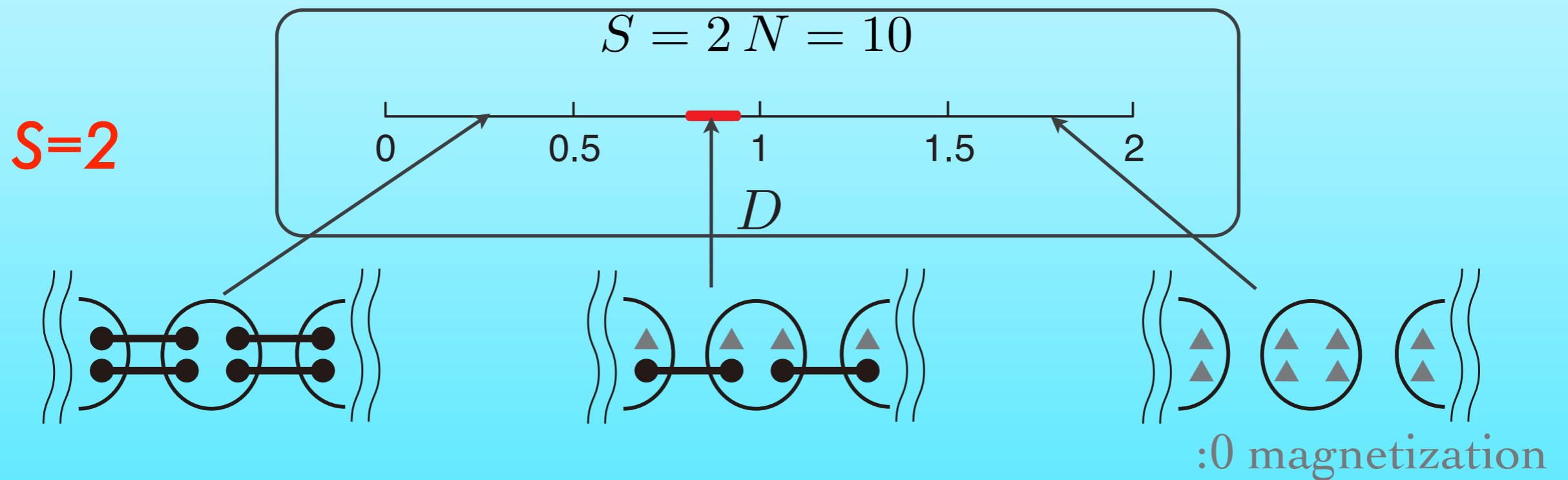
**Reconstruction of valence bonds!**

# $S=2$ Heisenberg model with $D$ term

T.Hirano, H.Katsura & YH, Phys.Rev.B77 094431'08

$$H = \sum_i^N \left[ J \mathbf{S}_i \cdot \mathbf{S}_{i+1} + D (S_i^z)^2 \right]$$

Red line : Berry phase  $\pi$



**Reconstruction of valence bonds!**



# Generic AKLT (VBS) models

T.Hirano, H.Katsura & YH, Phys.Rev.B77 094431'08

Twist the link of the generic AKLT model

$$H(\{\phi_{i,i+1}\}) = \sum_{i=1}^N \sum_{J=B_{i,i+1}+1}^{2B_{i,i+1}} A_J P_{i,i+1}^J[\phi_{i,i+1}]$$
$$|\{\phi_{i,j}\}\rangle = \prod_{\langle ij \rangle} \left( e^{i\phi_{ij}/2} a_i^\dagger b_j^\dagger - e^{-i\phi_{ij}/2} b_i^\dagger a_j^\dagger \right)^{B_{ij}} |\text{vac}\rangle$$

Berry phase on a link (ij)

$$\gamma_{ij} = B_{ij} \pi \text{ mod } 2\pi$$

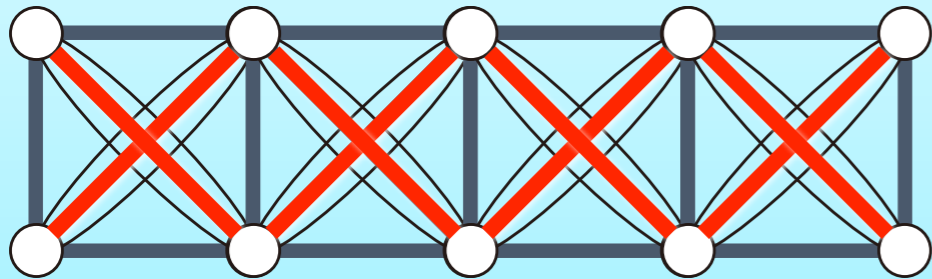
$S=1/2$

The Berry phase counts the number of the valence bonds!

$S=1/2$  objects are fundamental in integer spin chains

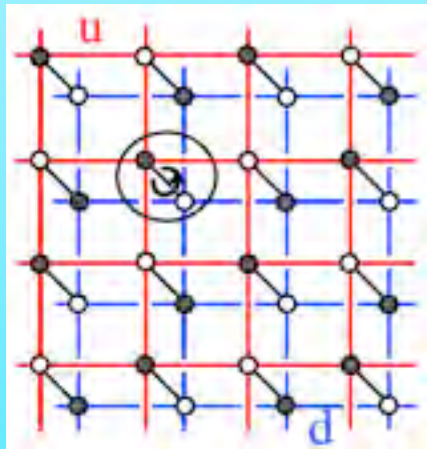
# Other systems applied

## Spin ladders with ring exchange



*I. Maruyama, T. Hirano, and Y. H., Phys. Rev. B 79, 115107 (2009)*

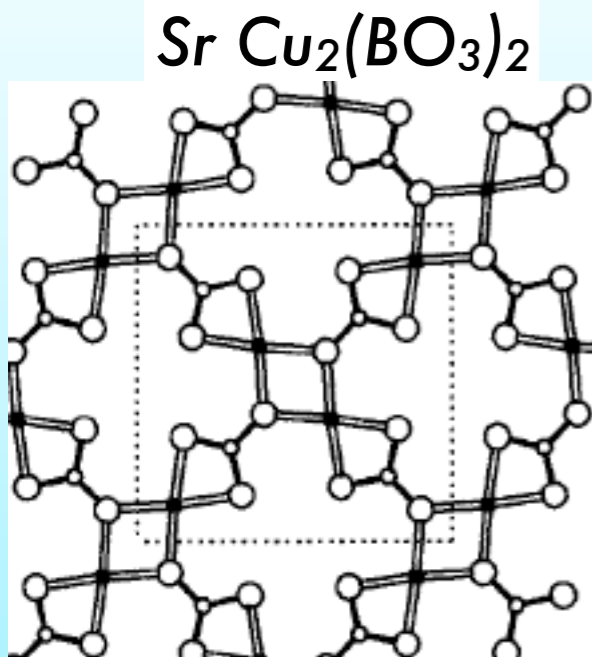
*M. Arikawa, S. Tanaya, I. Maruyama, Y. H., Phys. Rev. B 79, 205107 (2009)*



## **BEC-BCS crossover at half filling**

*M. Arikawa, I. Maruyama, and Y. H., Phys. Rev. B 82, 073105 (2010)*

# Orthogonal dimers

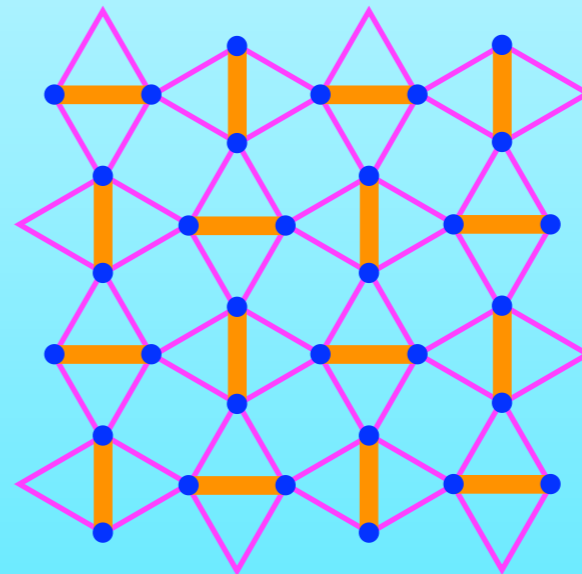
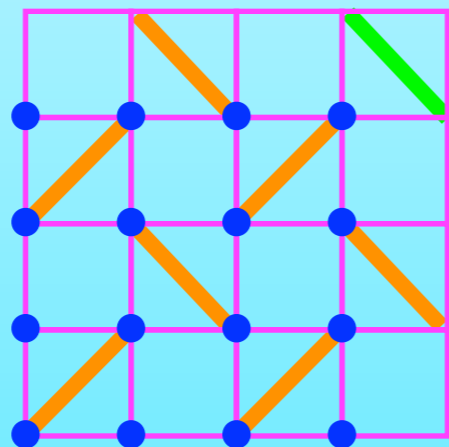
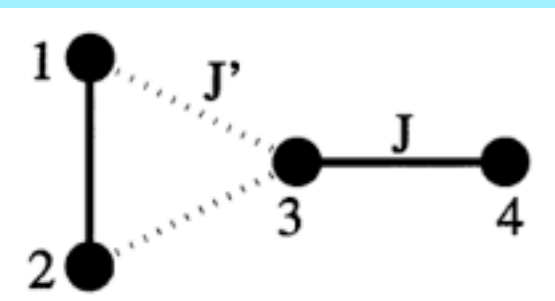


## ★ discovery

H. Kageyama et al. , *Phys. Rev. Lett.* 82, 3168 (1999)

## ★ Theory: spin gap & magnetic plateaus

B. S. Shastry and B. Sutherland, *Physica*, 108B, 1069 (1981).



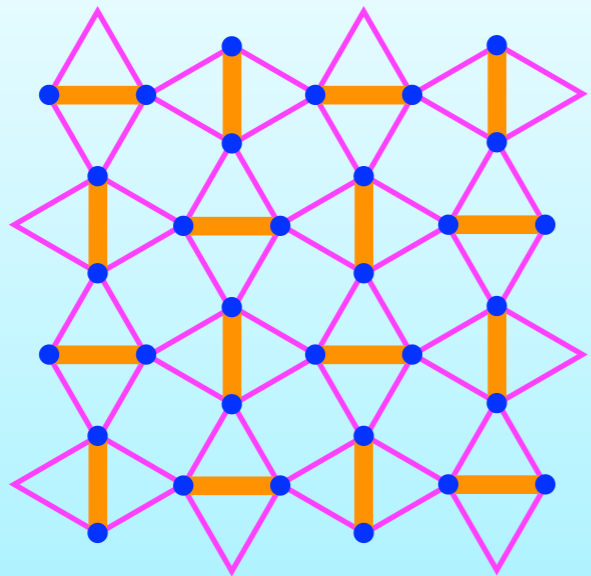
$$H = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J' \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

S. Miyahara & K. Ueda , *Phys. Rev. Lett.* 82, 3701 (1999)

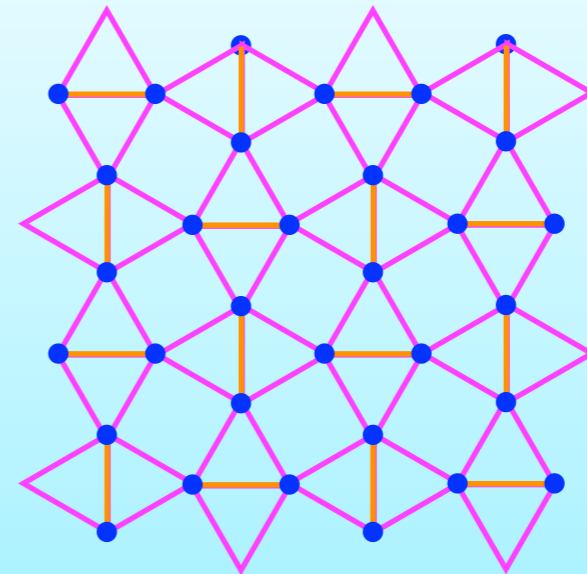
T. Momoi and K. Totsuka, *Phys. Rev. B* 61, 3231 (2000)

# Gapped to gapped transition

Dimer phase

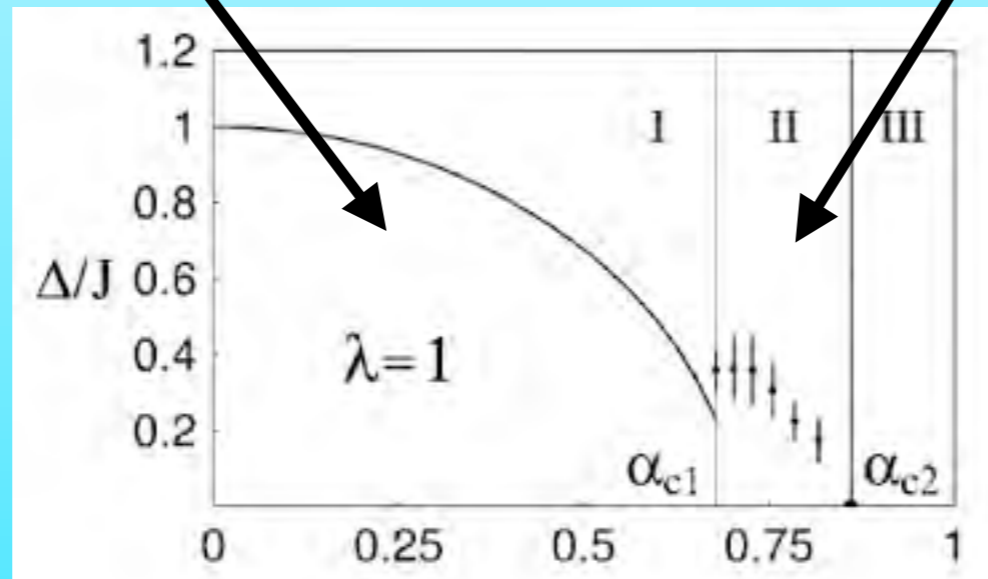
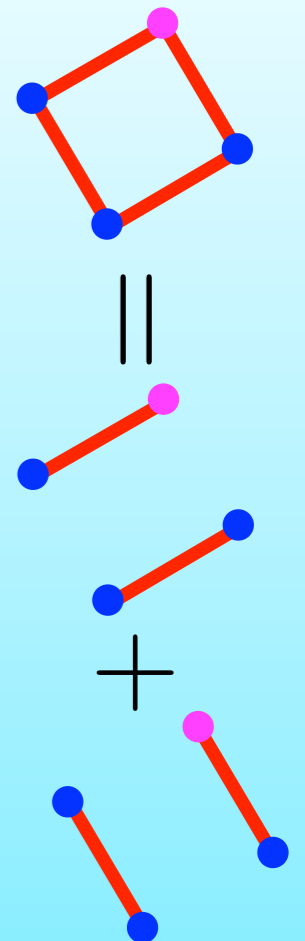


Plaquette singlet phase



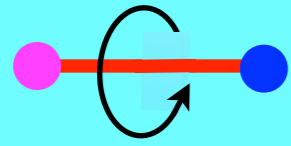
$J \gg J'$

$J \approx J'$

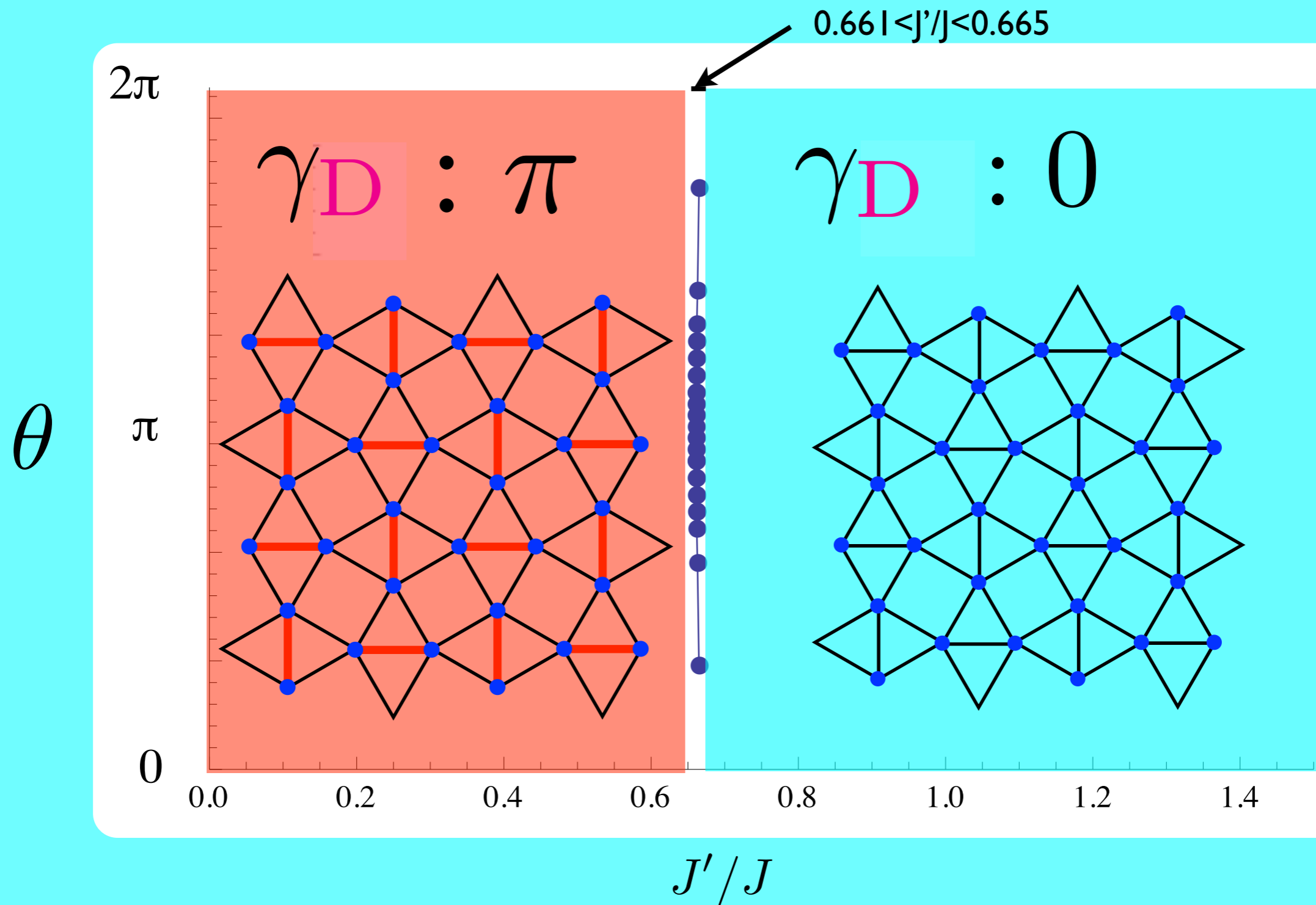


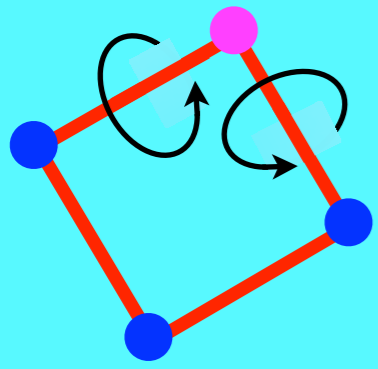
$$H = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J' \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

A. Koga & N. Kawakami, Phys. Rev. Lett. 84, 4461 (2000)



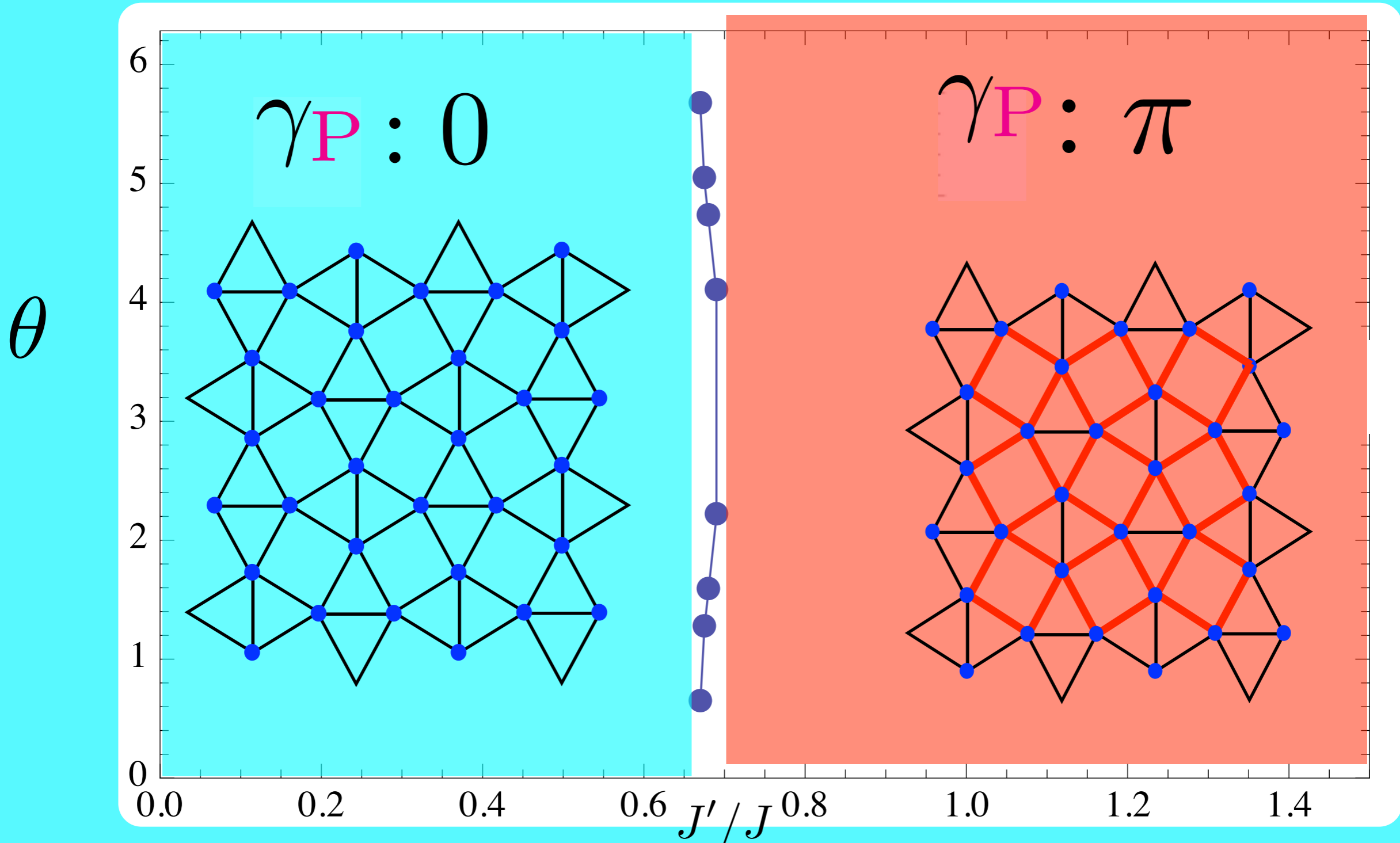
# $Z_2$ Berry phase $\gamma_D$ gauge twist for singlet pair





# $Z_2$ Berry phase $\gamma_P$

gauge twist for plaquette singlet





# Local Order Parameters of Bonds

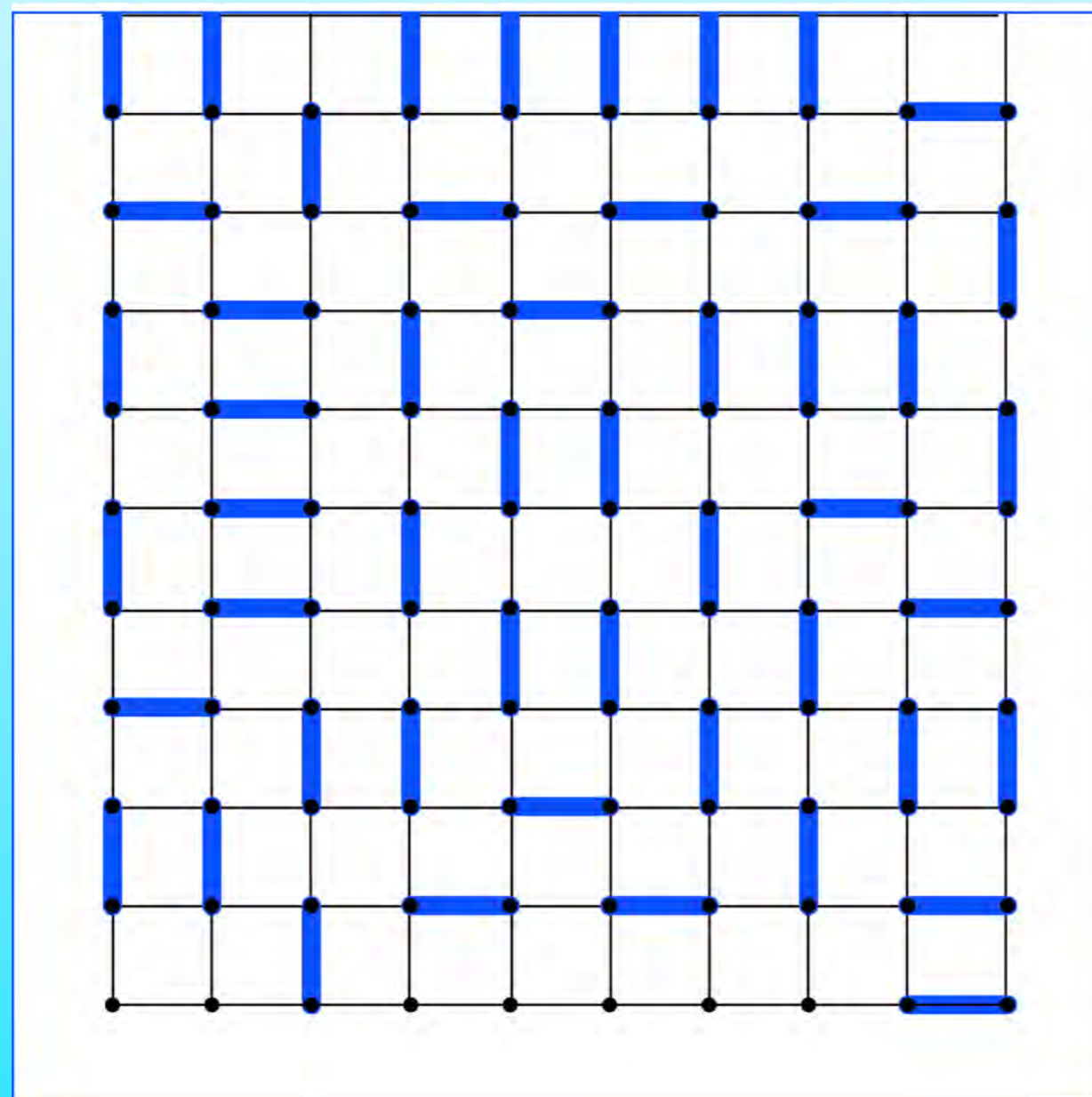
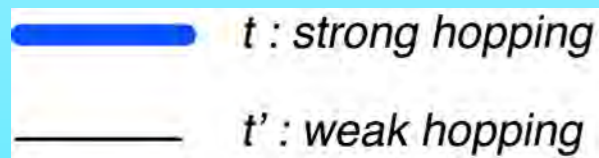
## ★ 2D Extended SSH ( Su-Schrieffer-Heeger) Model

★ Strong Coupling Limit has a gapped unique ground state.

### Distribution of hoppings

$$V_{ij} = 0$$

$$t'/t=0.0$$



Non-Abelian Connection  
for the Fermi-Sea  
Large System is  
available

YH, JPSJ. 73, 2604 (2004),  
74, 1374 (2005)



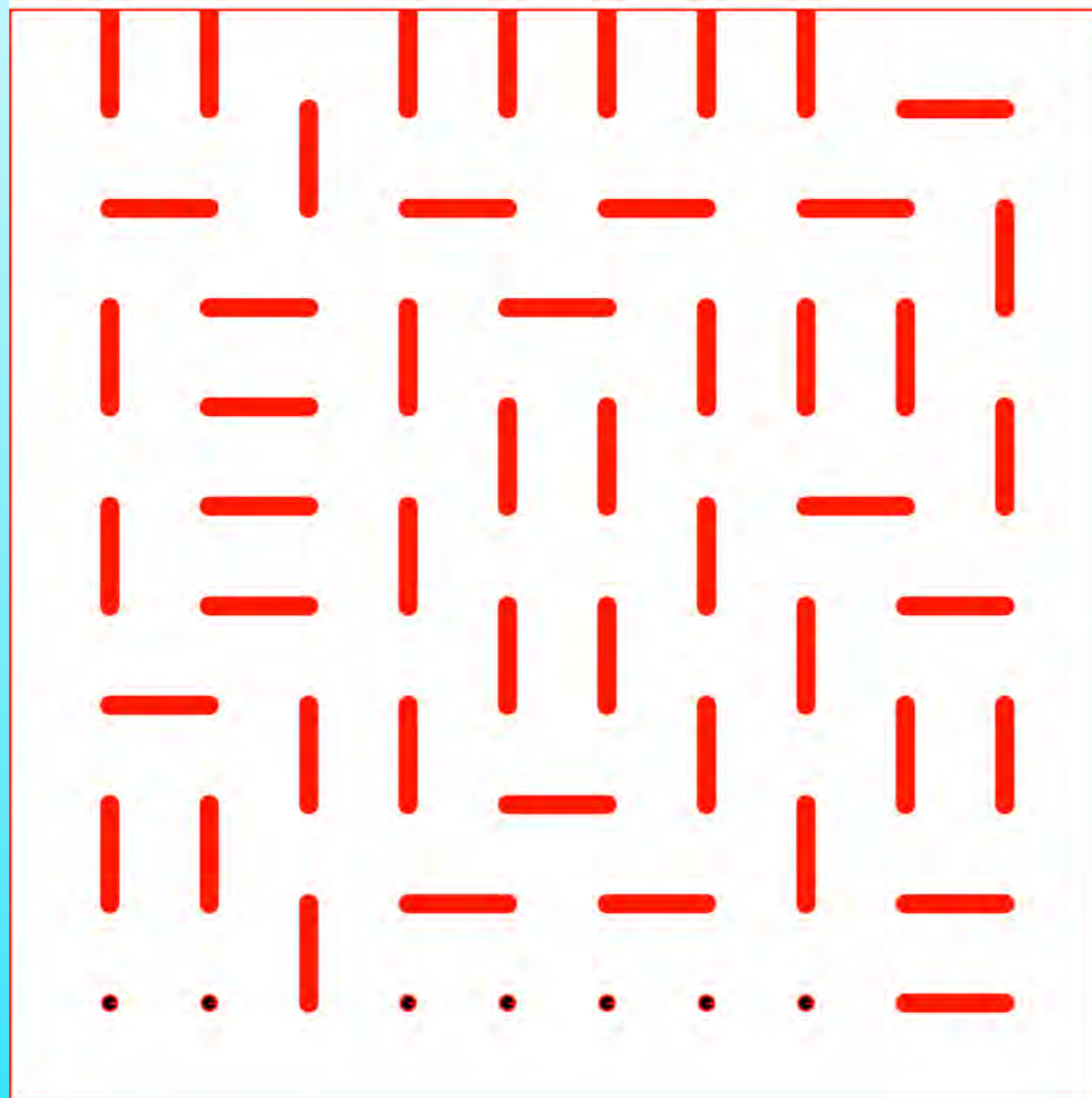
# Local Order Parameters of Dimer Pairs

## ★ 2D Extended SSH ( Su-Schrieffer-Heeger) Model

★ Strong Coupling Limit has a gapped unique ground state.

### Distribution of the Quantized Berry Phases

$$t'/t=0.6$$



$$\gamma_C = \pi$$

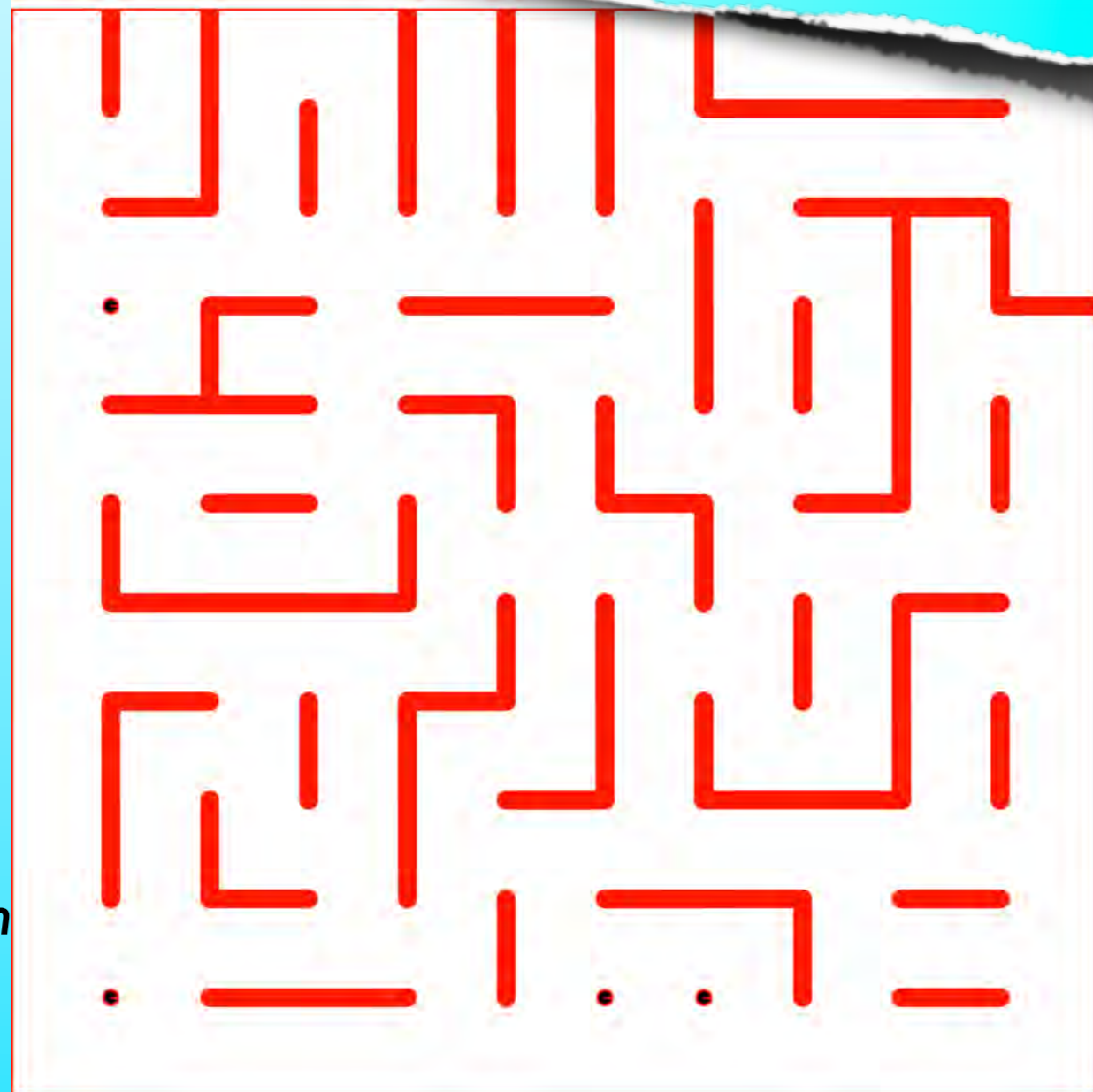
# Local Order Parameters of Dimer Pairs

★ 2D Extended SSH ( Su-Schrieffer-Heeger) Model

★ Strong Correlation → Unique ground state.

Distribution of Phases  
**Reconstruction of bonds**

$$t'/t=0.7$$



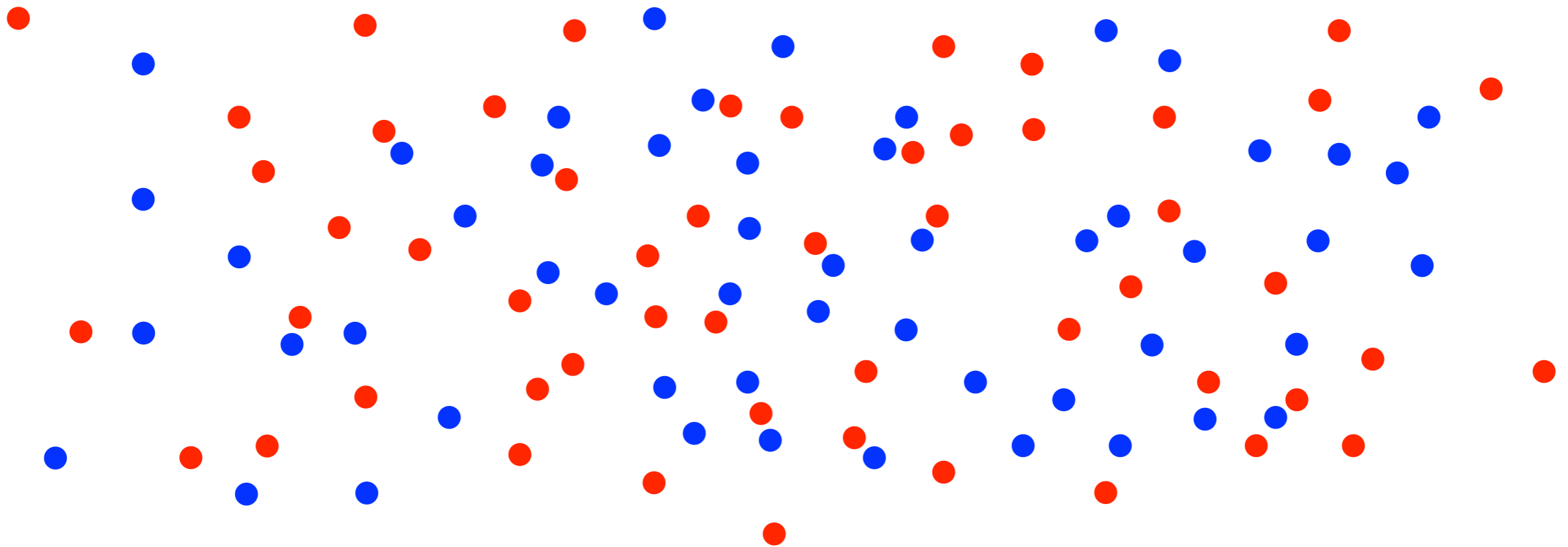
$$\gamma_C = \pi$$

Quantum Phase Transition  
with (local) Gap Closing

# *BEC-BCS crossover*

## *as a local quantum phase transition*

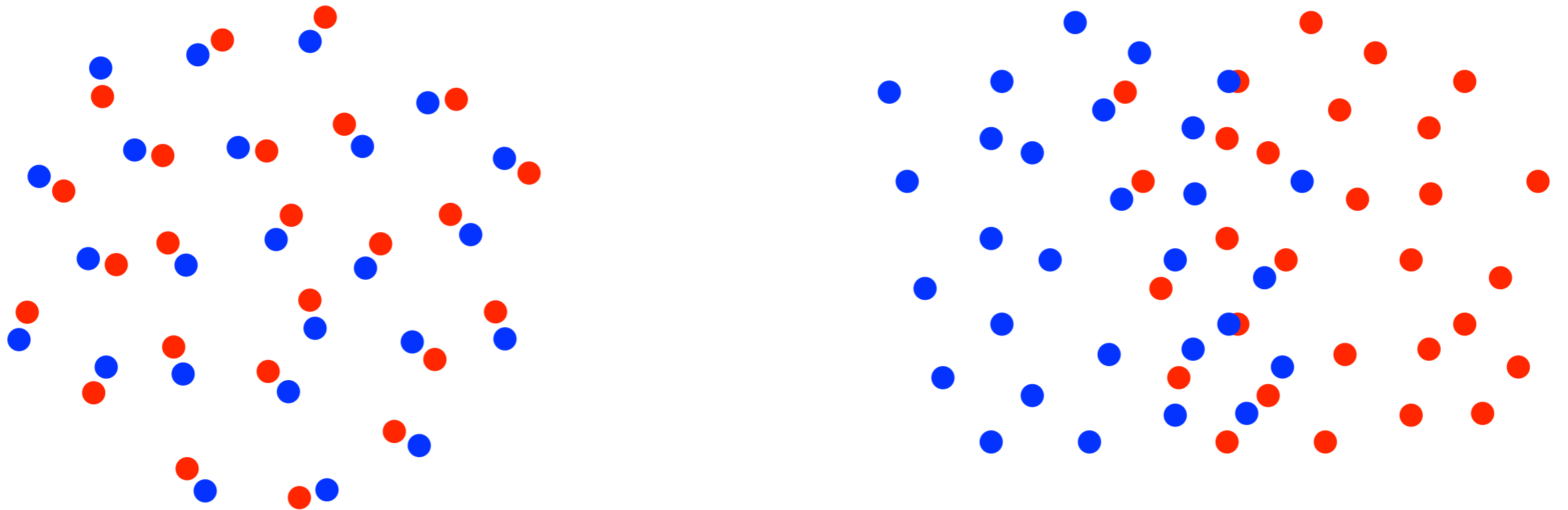
*Switching on attractive interaction among particles*



● *spin up electrons*

● *spin down electrons*

# *BEC-BCS crossover as a local quantum phase transition*

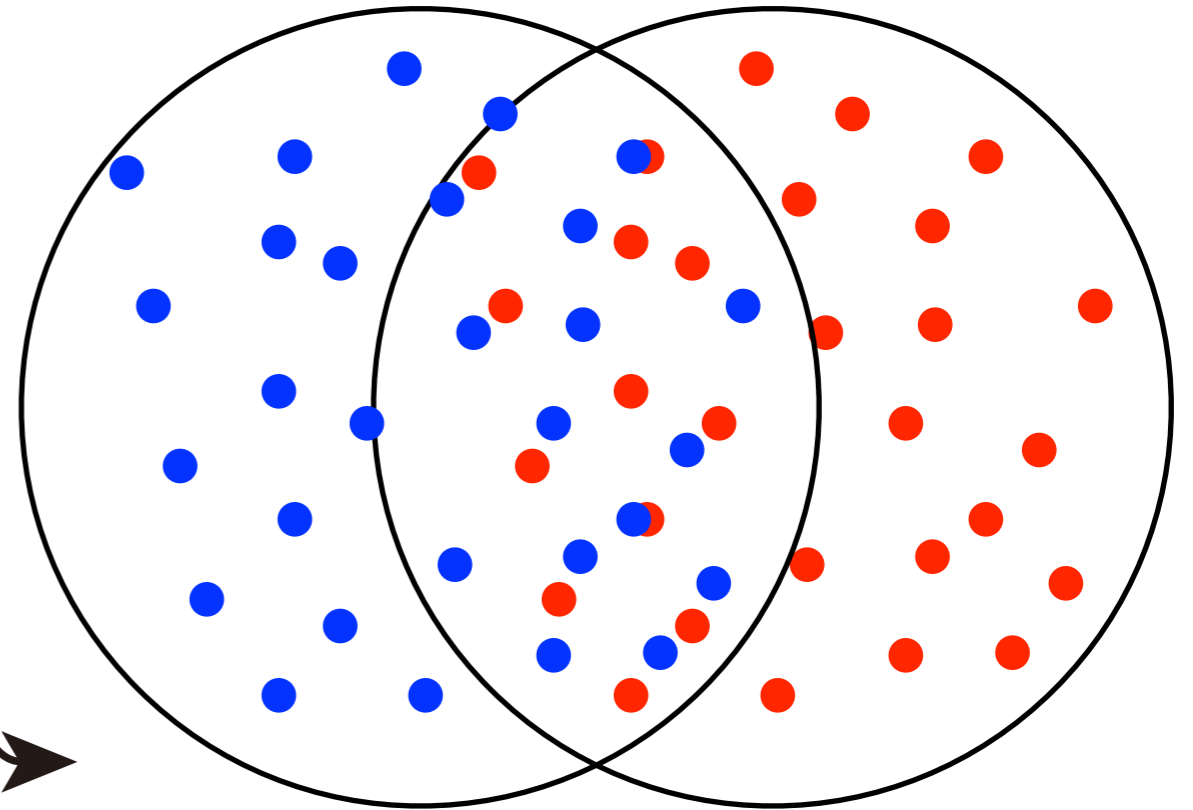
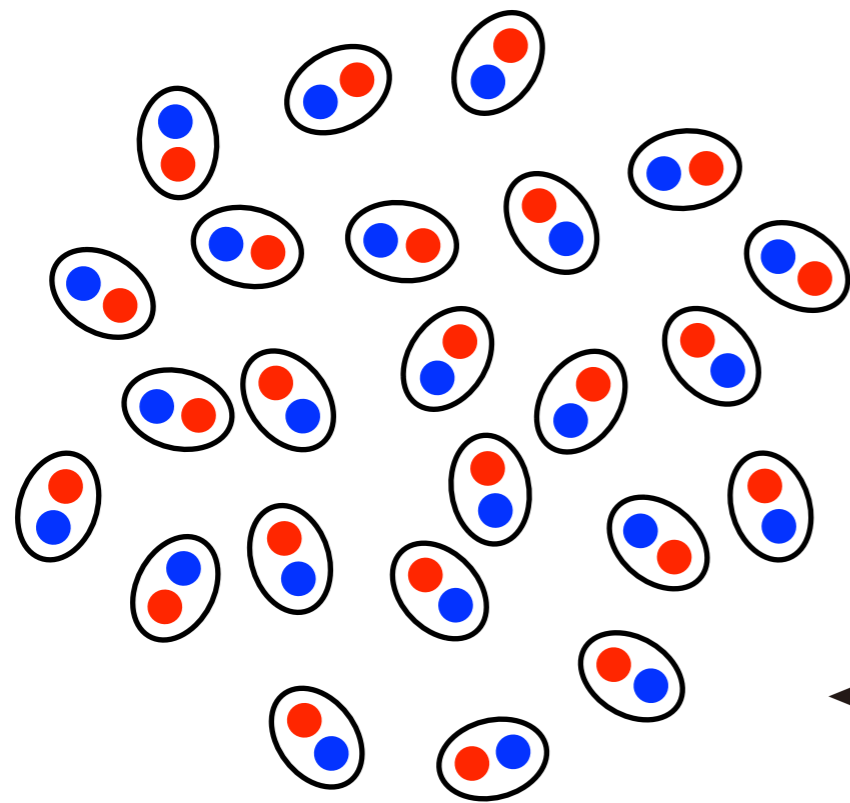


# BEC-BCS crossover

as a local quantum phase transition

*BEC : strong coupling*

*BCS : weak coupling*



*Crossover*

*adiabatically connected*

*Making bosons in real space  
then condense*

*Cooper pairing  
in momentum space*

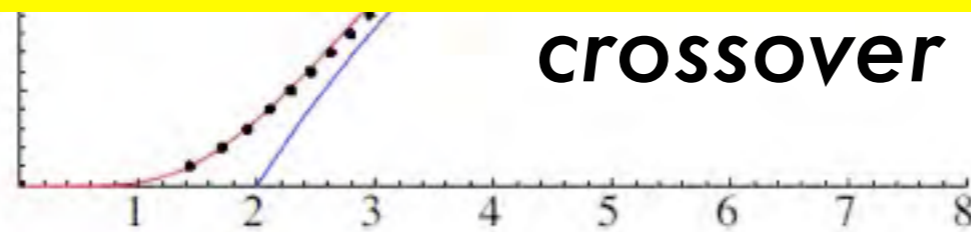
# BCS Model at half filling

Arikawa-Maruyama-YH, 2010

$$H = -t \sum_{\sigma, i, j} c_{i\sigma}^\dagger c_{j\sigma} - |U| \sum_{ij} \Delta_{ij} c_{i\uparrow} c_{i\downarrow}$$

modify only at special (local) order parameter  $\Delta_{ij} \rightarrow \Delta_{ij} e^{i\theta}$  ( $\theta : 0 \rightarrow 2\pi$ )  
 to calculate the Berry phase  $\gamma = -i \int_0^{2\pi} d\theta \langle \psi | \partial_\theta \psi \rangle$

**Crossover of the bulk**  
 by Quantum Phase transition with local gauge twist

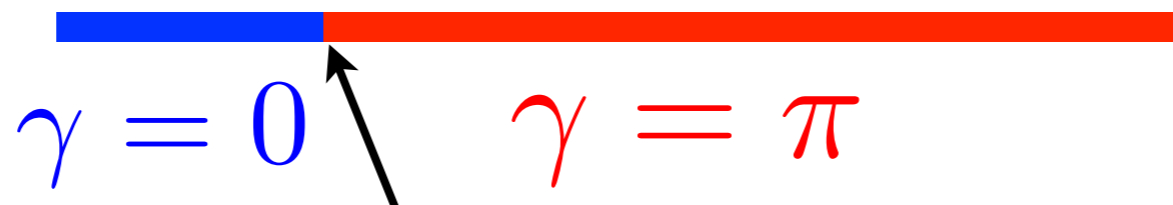


crossover : gapped always

weak coupling (BCS)

$|U|$

strong coupling (BEC)



$$\gamma = 0$$

$$\gamma = \pi$$

$$|U_C|/t = 2/\sqrt{3} = 1.15, 1.25, 1.6$$

1D

2D

3D